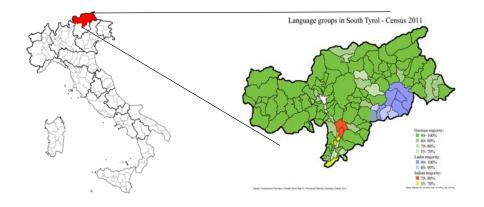
# Why Databases Should Know How Much They Know

## Werner Nutt Free University of Bozen-Bolzano, Italy



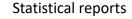
## Bolzano is an Autonomous Province of Italy

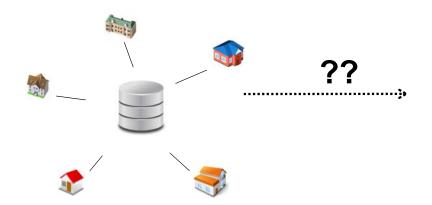


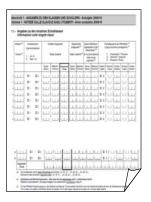
- Has its own school system
  - ... with its own data management

#### School Data Management in Bolzano ...

Decentrally maintained database







generally incomplete

require complete data

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#### ... Gave Rise to Work on Data Completeness



Simon Razniewski



Ognjen Savkovic



Fariz Darari



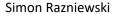
Radityo Eko Prasoyo

Main publications: VLDB 11, CIKM 12, ISWC 13, SIGSPATIAL 14, SIGMOD 15, ICDT 16, ICWE 16, ADMA 17, CIKM 18, ACM TWEB 18, K-CAP 19, SWJ (to appear)

**Demos**: CIKM 12, VLDB 13, COLD@ISWC 2016 **Systems**: COOL-WD, Recoin (plugins for Wikidata)

#### ... Gave Rise to Work on Data Completeness







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Papers can be retrieved from <a href="http://www.inf.unibz.it/~nutt/publications.html">http://www.inf.unibz.it/~nutt/publications.html</a>

Award for outstanding PhD thesis at International Semantic Web Conference 2018

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#### Incompleteness in the School Data

Facts in real world

result(Giulia, Math, A)
result(Paul, Math, A)

Facts in school database

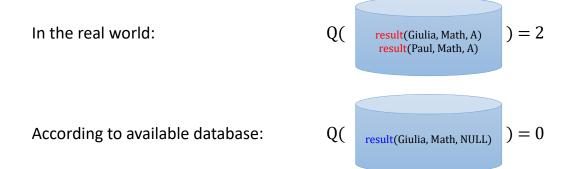
result(Giulia, Math, NULL)

Missing information in the school database:

- no entry for Paul (missing record)
- no grade for Giulia (missing value)

#### Consequence: Query Answers are Incorrect

Query Q: "How many pupils have grade A in Math?"



→ If data is incomplete, query answers become incorrect

#### Data Completeness is a Key Issue in Data Quality

One of the core dimensions of data quality (besides consistency, accuracy, timeliness, ...)

• 2,500,000 hits on Google for "Data Quality" + "Completeness"

Incompleteness typically occurs

- if data sets have many contributors
- in data integration
- in knowledge base construction











#### The General Approach to Data (In)completeness

#### Try to complete all the data:

- link business processes to computers
- add more sensors
- resort to data imputation
- compare with known complete data sets
- let humans add data

#### Shortcomings:

- does not always work ...
- what do you do while data are still incomplete?
- or if they cannot be completed at all?

#### There can be Information about Partial Completeness!

... vocational schools use the information system of the province to manage grades

checked by looking at a database alor ... primary schools at is not there!

took part in a survey of Math education

However, we may know whether parts of a database are complete, e.g.,

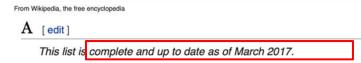
- "The grades from vocational schools are complete"
- "The <u>Math</u> grades from <u>primary schools</u> are complete"

This information is not in the database ...

... if it were, one could use to assess completeness of database queries

#### Statements about Completeness are not that Novel

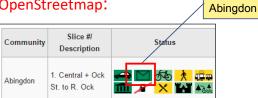
Companies listed on the New York Stock Exchange (A) Wikipedia:



Internet Movie DB:



OpenStreetmap:



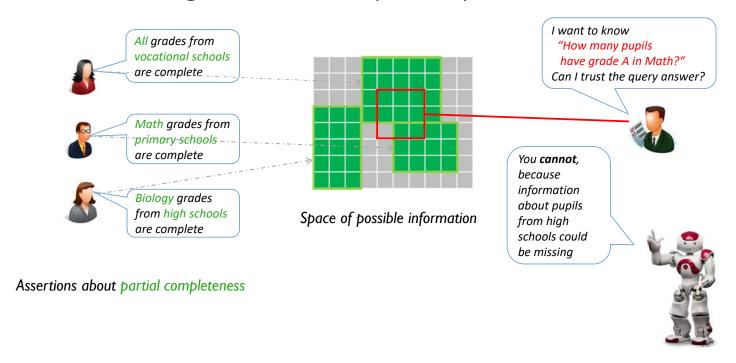
... but they are not formal

If they were, we could use them for automated reasoning about query completeness

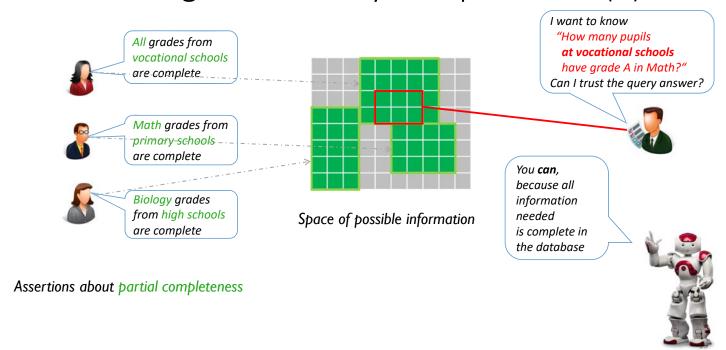
11

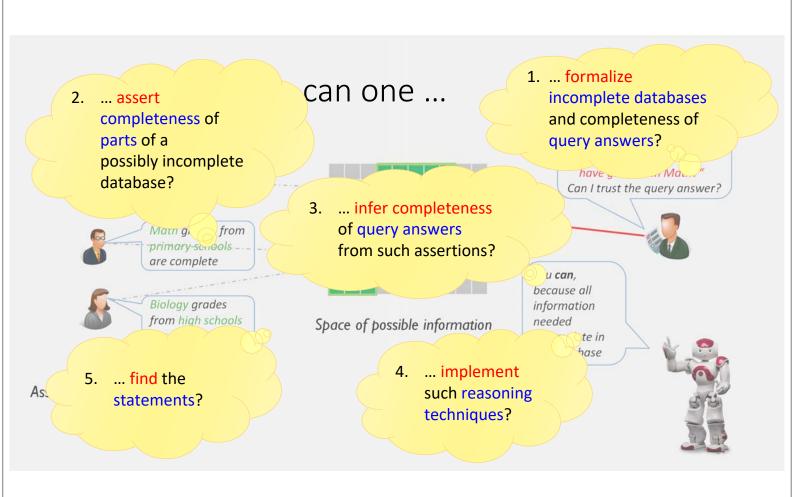
Complete for all street names

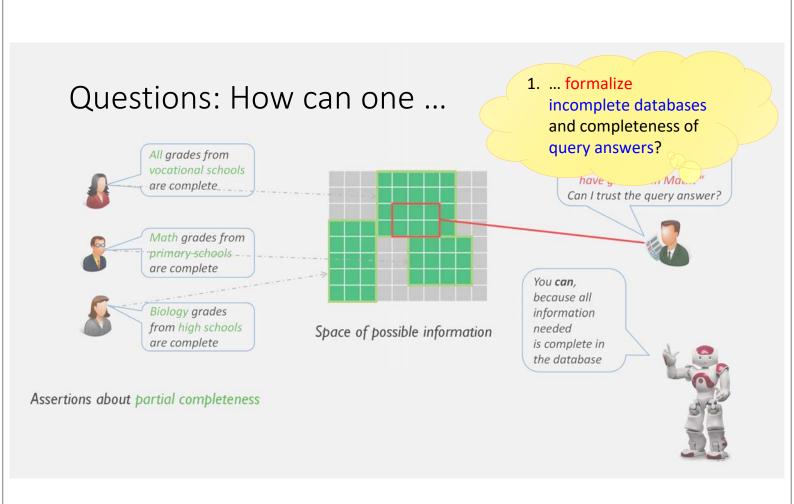
#### Reasoning about Query Completeness



## Reasoning about Query Completeness (2)







#### A Database ...

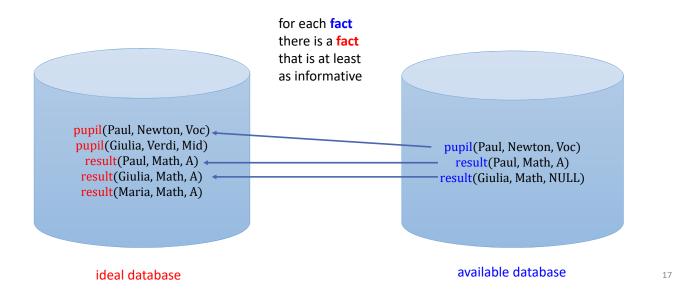
has a schema pupil(name, schoolName, schoolType)
 result(name, subject, grade)

is a collection of records

pupil(Paul, Newton, Voc) result(Paul, Math, A) result(Giulia, Math, NULL)

#### Incomplete Databases

When talking about incompleteness, we implicitly refer to a complete reference



#### Formalization: Incomplete Database

An incomplete database  ${\it \emph{D}}$  is a pair of

an ideal database  $D^i$  and an available database  $D^a$ 

$$D = \left( D^i, D^a \right)$$

such that

for each record in  $D^a$  there is a "more informative" record in  $D^i$ 

[Motro 1989]

For databases w/o Nulls, this means

$$D^a \subseteq D^i$$

#### Queries

Reasoning for arbitrary SQL queries is impossible (undecidability of 1st order logic)
We concentrate on single block SQL queries (possibly with DISTINCT):

```
SELECT r.name
FROM pupil p, result r
WHERE r.name = p.name AND
    r.subject = 'Math' AND
    r.grade = 'A' AND
    p.schoolType = 'Voc'
```

"Which pupils at vocational schools had grade A?"

We write them as rules

$$Q(n) \leftarrow \text{result}(n, \text{Math, A}), \text{pupil}(n, sn, \text{Voc})$$

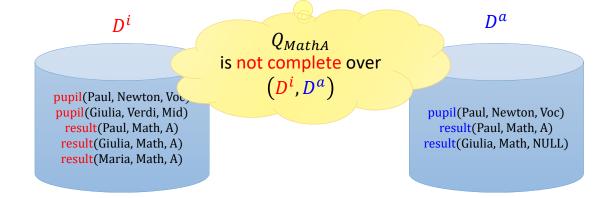
also called "conjunctive queries"

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#### **Query Completeness**

$$Q_{MathA}(n) \leftarrow \text{result}(n, \text{Math, A})$$

$$Q_{MathA}(D^i) = \{\text{Paul, Giulia, Maria}\}$$
  $Q_{MathA}(D^a) = \{\text{Paul}\}$ 



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## Example: Query Completeness (2)

 $Q_{MathAVoc}(n) \leftarrow \text{result}(n, \text{Math, A}), \text{pupil}(n, sn, \text{Voc})$ 

 $Q_{MathAVoc}(D^i) = \{Paul\}$ 

 $D^i$ 

 $Q_{MathAVoc}(D^a) = \{Paul\}$ 

result(Paul, Math, A)
result(Giulia, Math, A)
result(Maria, Math, A)
pupil(Paul, Newton, Voc)
pupil(Giulia, Verdi, Mid)

 $Q_{MathAVoc}$  is complete over  $\left(D^i, D^a\right)$ 

pupil(Paul, Newton, Voc)
 result(Paul, Math, A)
result(Giulia, Math, NULL)

 $D^a$ 

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## Formalization: Query Completeness

Query Q

"The answer to  $\,Q\,$  is complete"

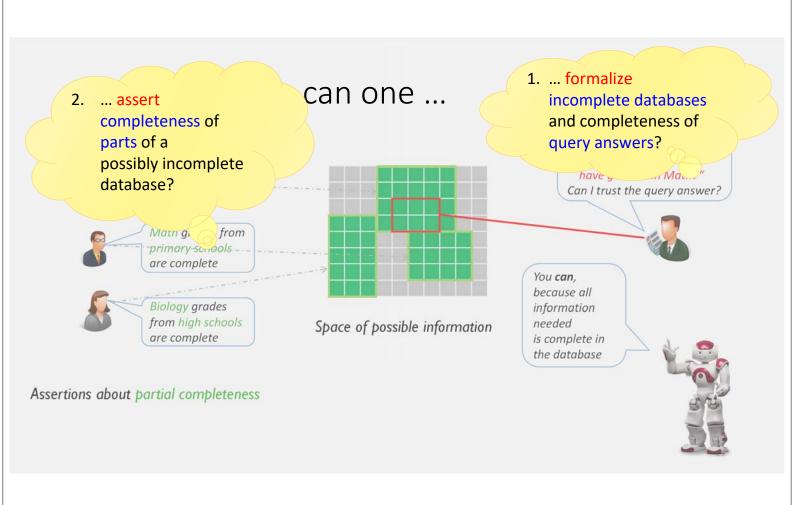
Notation:

Compl(Q)

To be precise, we must distinguish between queries w/ and w/o DISTINCT

Semantics:

$$(D^i, D^a) \models Compl(Q)$$
 iff  $Q(D^i) = Q(D^a)$ 



## Completeness Statements: Idea

Based on [Levy 96]

"The table result contains all result records of pupils from vocational schools"

maanc

"If there is a record  $\operatorname{result}(n,s,g)$  in  $D^i$ , and there is a record  $\operatorname{pupil}(n,sn,\operatorname{Voc})$  in  $D^i$ , then the record  $\operatorname{result}(n,s,g)$  is also in  $D^a$ "

We treat here relations in  $D^i$  and  $D^a$  as different, by tagging them with i and a

This can be expressed by the rule

 $\operatorname{result}^{i}(n, s, g), \operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \operatorname{result}^{a}(n, s, g)$ 

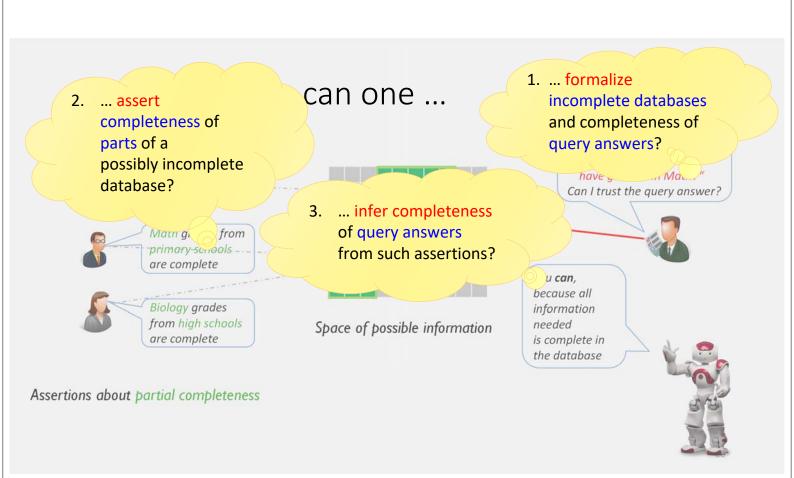
Idea: an incomplete  $db(D^i, D^a)$  satisfies the statement iff it satisfies the rule

#### Example: Satisfaction of Completeness Statements

 $\operatorname{result}^{i}(n, s, g)$ ,  $\operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \operatorname{result}^{a}(n, s, g)$ 

pupil(Paul, Newton, Voc)
pupil(Giulia, Verdi, Mid)
result(Paul, Math, A)
result(Giulia, Math, A)
result(Maria, Math, A)

because result(Paul, Math, A) is in Da



#### Data-agnostic Completeness-Reasoning

Given a query Q, a set of completeness statements  ${f C}$ 

```
• Is Q(D^i) = Q(D^a) for every incomplete db (D^i, D^a) that satisfies C ?
```

```
Idea: We don't know D^i (and cannot look at D^a), but we know something about the relationship between D^i and D^a. Can we conclude that Q gives the same answers over D^i and D^a?
```

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#### Data-aware Completeness-Reasoning

Given a query Q, a set of completeness statements  $\mathbf{C}$ , and a db instance  $D^a$ 

• Is 
$$Q(D^i) = Q(D^a)$$
 for **every**  $D^i \supseteq D^a$  such that  $(D^i, D^a)$  satisfies  $\mathbb{C}$ ?

```
Idea: We don't know D^i, but we know D^a, and we know something about the relationship between D^i and D^a. Can we conclude that Q gives the same answers over D^i and D^a?
```

#### Reasoning: Starting Point

Query: "Which pupils at vocational schools had an A in Math?"

 $Q_{MathAVoc}(n) \leftarrow \text{result}(n, \text{Math, A}), \text{pupil}(n, sn, \text{Voc})$ 

#### Completeness statements:

• "All result records of pupils at vocational schools are available"

$$\operatorname{result}^{i}(n, s, g)$$
,  $\operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \operatorname{result}^{a}(n, s, g)$ 

"All pupils are available"

$$pupil^{i}(n, sn, st) \rightarrow pupil^{a}(n, sn, st)$$

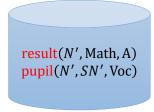
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## If the Query Returns an Answer over $D^{i}$ , what is in $D^{i}$ ?

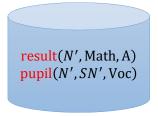
Query: "Which pupils at vocational schools had an A in Math?"

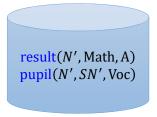
$$Q_{MathAVoc}(n) \leftarrow \text{result}(n, \text{Math, A}), \text{pupil}(n, sn, \text{Voc})$$

- 1. Assume  $Q_{MathAVoc}$  returns N' over  $D^i$
- 2. See which facts must be in  $D^i$  (invent constants N', SN' for variables n, SN)



## Apply Completeness Statements to Derive Da





"All result records of pupils at vocational schools are available"

$$\operatorname{result}^{i}(n, s, g), \operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \operatorname{result}^{a}(n, s, g)$$

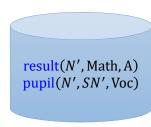
"All pupils are available"

$$pupil^{i}(n, a, sn, st) \rightarrow pupil^{a}(n, sn, st)$$

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#### Run Query on $D^a$

"Which pupils at vocational schools had an A in Math?"



$$Q_{MathAVoc}(D^a) = \{N'\} \implies N' \text{ is also returned over } D^a$$

Summary: If

- an arbitrary N' is returned over the ideal db, and
- ideal and available db are related by the *completeness statements* then
- N' is also returned over the available db

Hence: the query is complete

#### What if N' is not Returned?

Assume, completeness of pupils is not asserted

result(N', Math, A)
pupil(N', SN', Voc)

result(N', Math, A)

"All result records of pupils at vocational schools are available"

 $\operatorname{result}^{i}(n, s, g), \operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \operatorname{result}^{a}(n, s, g)$ 

#### Now,

- $(D^i, D^a)$  satisfies the statement(s)
- but  $Q(D^i) \neq Q(D^a)$

Counterexample!

The answer need not be complete ...  $_{_{33}}$ 

1. ... formalize can one ... incomplete databases 2. ... assert completeness of and completeness of parts of a query answers? possibly incomplete Man database? Can I trust the query answer? 3. ... infer completeness of query answers Matn g primary-schools from such assertions? are complete u can, because all Biology grades information from high schools needed Space of possible information are complete te in 4. ... implement Assertions about partial completeness such reasoning techniques?

#### Implementation: Logic Programming

- Query → "Ideal" facts
   result\_i(n, 'Math', 'A'). pupil\_i(n, sn, 'Voc').
- Run with
- Prolog
- Datalog engine

• Completeness statements → Rules

```
result_a(N, S, G) \leftarrow result_i(N, S, G), pupil_i(N, SN, 'Voc').
pupil_a(N, SN, ST) \leftarrow pupil_i(N, SN, ST).
```

Completeness check: Test query

```
Q_test ← result_a(n, 'Math', 'A'), pupil_a(n, SN, 'Voc').
```

If Q\_test succeeds, the query is complete, otherwise not

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#### Alternative Implementation: CONSTRUCT Queries

To reason about the completeness of conjunctive SPARQL queries:

- BGP of query  $Q \mapsto$  "ideal" graph  $G_Q$
- Completeness statements  $\mapsto$  CONSTRUCT queries  $Q_C$
- Completeness check:
  - apply the  $Q_C$  to  $G_Q$ , resulting in a new graph  $G' = \bigcup Q_C(Q_G)$
  - does Q(G') contain the output variables of Q?

#### Databases Can Have More Features

- Integrity Constraints
  - Finite domain constraints: "School types are only primary, middle, and vocational" pupil[schoolType] = {Prim, Mid, Voc}
  - Keys: "pupil records are identified by their <u>name</u>, result records by <u>name</u> and <u>subject</u>"
  - Foreign keys: "For every result there is a corresponding pupil with the same name" result[name] ⊆ pupil[name]
- Null values

result(Giulia, Pottery, NULL)

3.

#### Reasoning with Finite-Domain Constraints

#### **Completeness Statements**

- "pupils from primary schools are complete"
- "pupils from middle schools are complete"
- "pupils from vocational schools are complete"

```
Query: Q(n) \leftarrow \operatorname{pupil}(n, sn, st) "Give me the names of all pupils" Q complete? No! (There could be other types of schools) Suppose \operatorname{pupil}[\operatorname{schoolType}] = \{\operatorname{Prim, Mid, Voc}\} holds Q complete? Yes!
```

#### Implement Reasoning With Finite Domains

```
Q(n) \leftarrow \text{pupil}(n, sn, st) complete?
```

If pupil[schoolType] = {Prim, Mid, Voc} holds,

we make a case analysis with three versions of  $D_Q^i = \{ \text{pupil}(N', SN', ST') \}$  substituting each possible school type for ST'

```
[ST' \mapsto Prim]D_Q^i = \{ pupil(N', SN', Prim) \}
[ST' \mapsto Mid]D_Q^i = \{ pupil(N', SN', Mid) \}
[ST' \mapsto Voc]D_Q^i = \{ pupil(N', SN', Voc) \}
```

Can be encoded into disjunctive logic programming

Then move on as before ...

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## Reasoning With Foreign Keys

result[name] ⊆ pupil[name]

"For every result record, there is a corresponding pupil record with the same name"

can have two meanings

- 1. holds for  $D^i$  ( = holds in the world)
- 2. holds for  $D^i$  and  $D^a$  ( = the FK is enforced in our db)

## Foreign Key Holding over $D^i$

```
Query Q: "Give me all result records"
           "result records are complete for pupils from primary schools"
Suppose
           "result records are complete for pupils from middle schools"
            "result records are complete for pupils from vocational schools"
           pupil[schoolType] = {Prim, Mid, Voc} holds
Suppose
Q complete?
                 No! (There could be result records without pupils ...)
                 result[name] \subseteq pupil[name]
                                                    holds over D<sup>i</sup>
Suppose
Q complete?
                 Yes! (Every result record in D^i belongs to some pupil,
                        which goes to a primary, middle, or vocational school.
                        result records are complete for each of the three cases.)
```

## Foreign Key Holding over $D^i$ and $D^a$

```
Query Q: "Give me all results for the pupils of primary schools"

Suppose "results are complete"

Q complete? No! (We have the results, but we may not have the pupil records with the school type)

Suppose result[name] \subseteq pupil[name] holds over D^i and D^a

Q complete? Yes! (Every result in D^i belongs to some pupil, since the FK holds over D^i, and that pupil is in D^a, since FK holds over D^a)
```

For every result<sup>i</sup>, generate a corresponding pupil<sup>i</sup>, inventing school name, and school type (works only if FKs are (weakly) acyclic)

#### asoning With Foreign Keys

ings of

I there is a corresponding pupil record with the same name"

1. FK holds for  $D^i$  ( = holds in the world):

For every result<sup>a</sup>, copy the corresponding pupil<sup>i</sup> to a pupil<sup>a</sup>

$$\operatorname{result}^{i}(n, s, g) \to \operatorname{pupil}^{i}\left(n, f_{\operatorname{pupil,sname}}(n), f_{\operatorname{pupil,stype}}(n)\right)$$

2. FK holds also for  $D^a$  ( = the FK is enforced on our db):

$$\operatorname{result}^{a}(n, s, g), \operatorname{pupil}^{i}(n, sn, st) \to \operatorname{pupil}^{a}(n, sn, st)$$

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# Implement Reasoning with Finite Domains and Foreign Keys

Finite domains alone:

- Instantiate constrained query variables/generic constants in all possible ways
- Then apply rules as before

#### Foreign Keys

Generate new records, inventing new generic constants

$$\operatorname{result}^{i}(n, s, g) \to \operatorname{pupil}^{i}\left(n, f_{\operatorname{pupil,sname}}(n), f_{\operatorname{pupil,stype}}(n)\right)$$

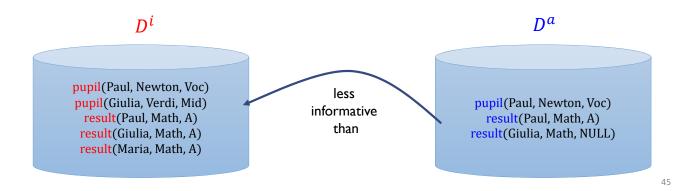
The new constants need to be instantiated as well!

Tricky! Needs disjunctive rules, only possible with Answer Set Programming (see [CIKM 15])

#### DBs w/ Nulls: Generalize Completeness Rules

"The available database contains all subjects taken by pupils at vocational schools, (but not necessarily the grades)"

 $\operatorname{result}^{i}(n, s, g), \operatorname{pupil}^{i}(n, sn, \operatorname{Voc}) \to \exists g' \operatorname{result}^{a}(n, s, g')$ 



#### What is the Meaning of NULL?

result(Paul, Pottery, NULL)

• Paul received a grade, but the grade was not recorded? Unknown value

No grades were given in the Pottery course?

Non-existing value

It is unknown, which of the two is the case?
 Ambiguous NULL

⇒ NULLs may indicate incomplete information, but need not

⇒ Usage of NULLs is *ambiguous* 

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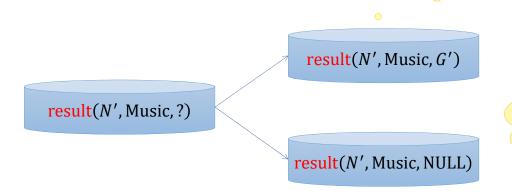
## Reasoning about NULL Requires Case Analysis



$$Q_{Music}(n) \leftarrow \text{result}(n, \text{Music}, g)$$

...then apply rules

Create prototypical  $D^i$  from  $Q_{Music}$ 



Pupil N' may have a Music grade

Null vs. non-Null distinction increases worst-case complexity

(grade not applicable)

## Data-Aware Reasoning

Schema: result(name, subject, grade)

pupil(name, schoolName)

school(schoolName, schoolType)

Statements: Pupils are complete for vocational schools

Results are complete for Paul and Mary

 $Q(n, s, g) \leftarrow \text{result}(n, s, g), \text{pupil}(n, \text{Newton})$ 

Let's look at the data!

1. Match statements against both, query and data

#### Reasoning

Statements: Pupils are complete for vocational schools
Results are complete for Paul and Mary

 $Q(n, s, g) \leftarrow \text{result}(n, s, g), \text{pupil}(n, \text{Newton})$ 

result(Paul, Math, A)
result(Maria, Physics, A)
pupil(Paul, Newton)
pupil(Maria, Newton)
school(Newton, Voc)

- 1. Pupils are complete for Newton (because Newton is vocational)
- 2. / Paul and Maria are all pupils from Newton school (because pupils are complete for Newton)
- 3. Check "results of Paul" and "results of Maria"
- 4. Results are complete for Paul and Maria, therefore for all pupils from Newton
- $\Rightarrow Q$  is complete
- 3. Evaluate fragment and instantiate query with the results
- Identify maximal complete fragment

4. Original query is complete if fragments are complete

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#### More Reasoning Services

Aggregate queries:

"How many pupils have grade A in Math?"

Answer is correct if non-aggregate query "pupils with A in Math" is complete

- ⇒ correctness of aggregate queries
- Queries with negation:

"Which pupils never had grade F?"

Answer is sound for a pupil for whom we have complete results

⇒ soundness of queries with negation

#### More Reasoning Services

• Simple Ontology Languages (RDFS):

"Which pupils attend a vocational school?"

"Vocational and professional schools are subclasses of each other"

Query is complete if "pupils of professional schools" are complete

- ⇒ completeness wrt RDF Schema Axioms
- SPARQL queries with OPTIONAL:

"What are the names of pupils taking pottery, and optionally their grades?"

⇒ Check completeness of

"What are the names of pupils taking pottery?"

and

"What are the names and grades of pupils taking pottery and having a grade?"

#### More Reasoning Services

• Suppose query "All Math results of pupils" is incomplete:

Are specializations of this query complete?

"Data are complete for Math results from primary and vocational schools."

- ⇒ query specialization
- "Movies of Tarantino are complete until 2016"
- ⇒ time-aware completeness reasoning

#### Overhead of Completeness Reasoning

Optimize by indexing the completeness statements: get only relevant ones

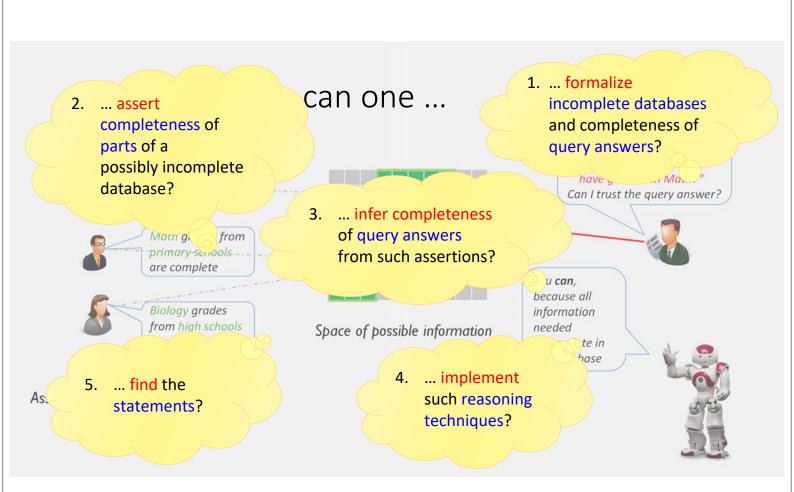
Experiments with query logs from Web knowledge bases (statements synthesized from queries):

Data Source	Number of Queries	Number of Completeness Statements	Query Evaluation Time	Completeness Reasoning Time	Overhead
DBpedia	334,000	331,000	18 ms	0.08 ms	0.44%
SWDF	108,000	44,000	36 ms	0.12 ms	0.33%
LGD	22,00,	21,000	8 ms	0.05 ms	0.60%

Data-aware reasoning lead to overheads of ~400 ms

[TWEB 18, SWJ 20]

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#### Where do Statements Come From?



- To benefit from completion reasoning, one needs completeness statements
- People will only provide completeness statements, if they experience benefits

Image Source: https://www.chronicle.com/blogs/linguafranca/2017/05/30/clear-skies-acts-abounding/

5

#### Bootstrap: Ideas for Web Knowledge Bases

#### **Completeness Rule Mining:**

Learn general completeness statements from individual statements:

 $hockeyPlayer(x) \rightarrow Incomplete(x, hasChild)$ scientist(x), hasWonNobelPrize(x)  $\rightarrow Complete(x, graduatedFrom)$ 

[Galarraga et al., WSDM 2017]

#### **Cardinality Extraction:**

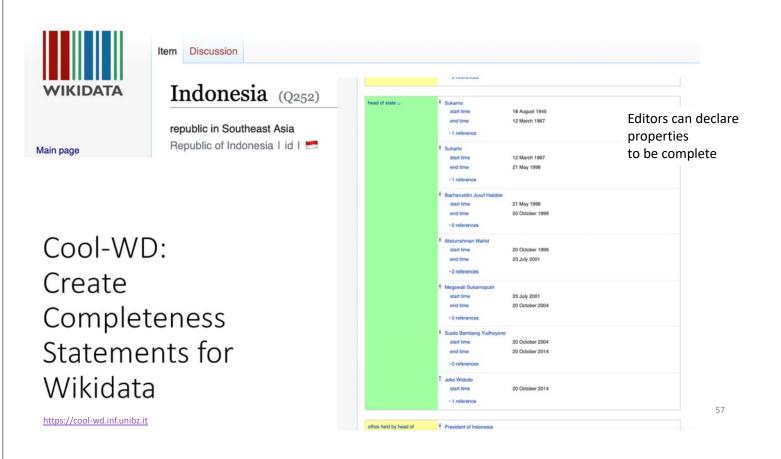
Extract count information from text and match with database

Wikipedia: "Donald Trump has five children"

Wikidata: contains 5 children of Trump

⇒ Wikidata is complete for children of Trump

[Mirza et al., ACL 2017, Mirza et al. ISWC 2018]



#### Open Problems

Find more efficient implementation techniques (e.g., for queries with  $\leq$ ,  $\leq$ , or disjunctive information)

Lift completeness reasoning to quantitative completeness analysis

- Now: we are 100% complete (or not)
- Better: we are 50% complete with a probability/confidence of 80%

How collect completeness statements?

Can we generalize the approach to query-aware data quality reasoning?

#### Conclusions

- Data analysis usually relies on the assumption that data is complete,
   which often is not the case
- Techniques exist to annotate query results with completeness information if completeness statements are available
- Not only data matters, also (quality) metadata!

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## Thank you!