CURRICULUM VITAE

A <u>PERSONAL DETAILS</u>

NAME:	Professor Igor Filatotchev		
ADDRESS:	23 Ashen Grove, London, SW19 8BW, UK		
CONTACT NUMBERS:	Phone: +44 (0) 20 7040 5278 Fax: +44 (0) 20 7040 8328 e-mail: Igor.Filatotchev@city.ac.uk		
NATIONALITY:	British		
LANGUAGES:	English (fluent), Russian (mother tongue), French (intermediate), Italian (beginner)		

EDUCATION:

1980 First Class BSc Mathematics (Moscow Institute of Physics and Technology)
1986 PhD in Economics (Institute of World Economy and International Relations, Moscow)

APPIONTMENTS HELD

2007 - present	Professor of Corporate Governance and Strategy, Sir John Cass Business School, City University London. Director, Centre for Research on Corporate Governance.
2010 - present	Visiting Professor, Economics University of Vienna, Austria.
2004 - 2007	Professor of International Strategic Management, Department of Management, King's College London. Head of International Business and Comparative Management Group.
2002 - 2004	Professor of Strategic Management, Bradford University School of Management. Head of Economics and Strategy Group.
1999 – 2002	Reader in Management, School of Management and Organizational Psychology, Birkbeck College, University of London.
1991 - 1999	Lecturer and Senior Lecturer, University of Nottingham Business School
1990 – 1991	Research Fellow, Départment et Laboratoire d'Economie Théorique et Appliquée (DELTA-ENS, Paris, France)

2
Senior Research Fellow, Institute of World Economy and International Relations (Moscow, Russian
Federation)
Society of Advanced Management Studies, Council Member (2007-);
British Academy of Management; BAM Council
Member (2004-2007);
USA Academy of Management; member of Executive
Committee, Entrepreneurship Division (2008-);
Strategic Management Society;
EGOS;
Academy of International Business

B <u>RESEARCH</u>

1. Statement on research

My current and future research interests are focused on a fast growing area in the management and economics literature relating to corporate governance effects on strategic decisions and organisational change. Key research programmes currently in progress include:

- <u>Strategy and resource roles of corporate governance.</u> This research is focused on the effects of context factors on the governance-strategy-performance interrelationships. Within this research, particular emphasis is given to the strategic responses to organisational decline in different socio-economic environments. This includes the analysis of the interaction between factors affecting the need to change and managerial capacity to change.
- <u>Corporate governance life-cycle</u>. This research deals with dynamic interrelationships between the firm's corporate governance, strategies and performance. In particular, it analyses strategic decisions concerning the corporate governance mechanism at the initial public offering (IPO) stage of the firm's life-cycle, their links with pre-IPO governance characteristics, and long-term links between performance and changes/ adjustments in control systems.
- <u>Entrepreneurship development and firm innovation</u>. This research concentrates on problems of 'corporate entrepreneurship' and the internal and external constraints facing potential entrepreneurs in new and existing enterprises, the development of venture capital, the governance role of outside investors and industrial networks in supporting and developing entrepreneurial expertise.
- <u>Privatisation, corporate governance and strategic restructuring</u>. This research includes projects dealing with various elements of comparative analysis based on country case studies in central and eastern Europe, former Soviet republics, China and other emerging market economies. Traditional research on corporate governance problems is extended to the analysis of the roles and responsibilities of different 'stakeholders'. This research also deals with the corporate governance role of banks and other newly emerging investment institutions (investment funds, venture capital firms, etc.), the development of stock markets in emerging economies.

Building on these research perspectives I intend to develop an integrated and multi-disciplinary framework for analysis of corporate governance issues along two major dimensions: a) national and cultural environments; b) organisational context factors.

2 Publications related to research

3

Publications are listed in reverse chronological order in various categories.

• Refereed journal articles

- 1. "Agency perspective on corporate governance of multinational enterprises" (with Wright M) *Journal of Management Studies* 2010 forthcoming.
- 2. "Multiple agency perspective, family control and private information risk in emerging markets" (with X Zhang, J Piesse), *Asia Pacific Journal of Management* 2010 forthcoming.
- 3. "Building perceived reputation of entrepreneurial firms: founders' effects on board selection and stock-market performance of IPOs" (with Zahra S, and Chahine S), *Entrepreneurship Theory and Practice* 2010 forthcoming.
- 4. "The research frontiers in corporate governance" (with Thompsen S, Ahrens, T), *Journal of Management and Governance* 2010 forthcoming.
- 5. "International human mobility, knowledge spillovers and innovation in high-tech firms in emerging economies" (with Liu, H. Jiangyong Lu, Buck, T and Wright M) *Journal of International Business Studies* 2010 forthcoming.
- 6. "Integrating contemporary finance and international business research" (with Bowe M, Marshall, A). *International Business Review* 2010, 19(5), pp. 435-520.
- 7. "Internal and external corporate governance: an interface between an organization and its environment" (with Nakajima, C), *British Journal of Management* 2010, 21(3), pp. 591-606.
- 8. "Principal-principal agency relationships and the role of external governance: a study of the life insurance sector" (with Ward D), *Managerial and Decision Economics* 2010, 31(4), pp. 249-261.
- 9. "Institutions and foreign IPOs: The effects of "home" and "host" country institutions on performance" (with Bell R, Moore, C). *Entrepreneurship Theory and Practice* 2010, 34(3), pp. 469-490.
- 10. "Executive incentive schemes in Initial Public Offerings: the effects of multiple agency conflicts and corporate governance" (with Allcock D), *Journal of Management* 2010, 36 (3), pp. 663-686.
- 11. "Corporate governance and executive remuneration: a contingency framework" (with Allcock D) *Academy of Management Perspectives* 2010, 24(1), pp. 20-33.
- 12. "Governance, ownership structure and performance of IPO firms: the impact of different types of private equity investors and institutional environments" (with Bruton G, Chahine S, Wright M), *Strategic Management Journal* 2010, 31(5), pp. 491-509.
- 13. "Corporate governance and international business" (with Strange, R, Wright, M, Buck, T), *Management International Review* 2009, 49(4), pp. 395-407.
- "Insider control and the FDI location decision: evidence from firms investing in an emerging market" (with Strange R, Piesse J, Yung-Chih Lien), *Management International Review* 2009, 49 (4), pp. 433-454.
- 15. "R&D, export orientation and growth of newly listed firms: European evidence" (with Piesse, J), *Journal of International Business Studies* 2009, 40(8), pp. 1260-1276.

- 16. "Founders, private equity investors, and underpricing in entrepreneurial IPOs" (with Bruton G, Chahine S), *Entrepreneurship Theory and Practice*, 2009, 33(4), pp. 909-928.
- 17. "How do threshold firms sustain corporate entrepreneurship? The role of boards and absorptive capacity" (with Zahra S and Wright M) *Journal of Business Venturing* 2009, 24(3), pp. 248-260.
- "The export orientation and export performance of high-technology SMEs in emerging markets: The effects of knowledge transfer by returnee entrepreneurs" (with Buck, T, Xiaohui Liu, Wright M), *Journal of International Business Studies*, 2009, 40(6), pp. 1005-1021.
- 19. "Taking stock of corporate governance research while looking to the future" (with Boyd B) *Corporate Governance: An International Review* 2009, 17(3), pp. 257-265.
- 20. "Corporate governance research: a contingency framework" (with Dedman E) *International Journal of Managerial Finance*, 2008, 4(4), pp. 248-258.
- 21. "Ownership structure, strategic controls and exporting of foreign-invested firms in transition economies" (with Jindra B, and Stephan J) *Journal of International Business Studies* 2008, 39(7), pp. 1133-1148.
- 22. "Developing an organizational theory of corporate governance: comments on Henry L. Tosi (2008) "Quo vadis? Suggestions for future corporate governance research", *Journal of Management and Governance*, 2008, 12, pp. 171-178.
- 23. "Signalling the firm's value": information disclosure, corporate governance and performance of IPOs" (with Chahine S), *Journal of Small Business Management*, 2008, 46(2), pp. 219-241.
- 24. "An organizational approach to comparative corporate governance: costs, contingencies, and complementarities" (with Aguilera R, Gospel H, and Jackson G), *Organization Science*, 2008, 19(3), pp.475-492.
- 25. "Returnee entrepreneur characteristics, science park location choice and performance: an analysis of high technology SMEs in China" (with Buck T, Liu X, Wright M), *Entrepreneurship Theory and Practice*, 2008, 32(1), pp. 131-155.
- 26. "The effects of venture capitalist affiliation to underwriters on short- and long-term performance in French IPOs" (with Chahine S), *Global Finance Journal*, 2008, 18(3), pp. 351-372.
- 27. "Corporate governance and the firm's dynamics: contingencies and complementarities", *Journal of Management Studies*, 2007, 44(6), pp. 1041–1056.
- 28. "Corporate governance, managers' independence, exporting and performance of firms in transition economies" (with Isachenkova N, Mickiewicz, T), *Emerging Markets and Foreign Trade*, 2007, 43(5), pp. 65-80.
- 29. "Corporate governance and investment finance in transition economies: a survey evidence from large firms in Hungary and Poland (with Isachenkova N, Mickiewicz, T), *Economics of Transition* 2007, 15(3), pp. 433–460.
- 30. "FDI by firms from Newly Industrialized Economies in emerging markets: corporate governance, entry mode and location strategies" (with Strange R, Piesse J, Yung-Chih Lien), *Journal of International Business Studies*, 2007, 38(4), pp. 556-572.

- "Venture capitalists, business angels, and performance of entrepreneurial IPOs in the UK and France" (with Wright M, Chahine S), *Journal of Business, Finance and Accounting*, 2007, 34 (3-4), pp. 505-528. *A top cited JBFA article by 2010.*
- 32. "Corporate governance in family-controlled firms in Taiwan" (with Piesse J, and Lien Y-C), *International Review of Economics and Business*, 2007, 54(1), pp. 176-193.
- 33. "The firm's strategic dynamics and corporate governance life-cycle" (with Wright M, and Toms S), *International Journal of Managerial Finance*, 2006, 2(4), pp. 256-279.
- 34. "Venture capitalists, syndication and governance in Initial Public Offerings" (with Wright M, and Arberk M), *Small Business Economics Journal*, 2006, 26(4), pp. 337-350.
- 35. "Corporate governance and financial constraints on strategic turnarounds" (with Toms S), *Journal* of Management Studies, 2006, 43(3), pp. 407-433. This paper has been recognized as one of the top 50 management articles of 2006 by the Emerald Independent Review Board.
- 36. "The effects of executive characteristics and venture capital involvement on board composition and share ownership in IPO firms", *British Journal of Management*, 2006, 17, pp.75-92.
- 37. "The role of corporate governance in FDI decisions: evidence from Taiwan" (with Strange R, Piesse J, Yung-Chih Lien), *International Business Review*, 2005, 14(6), pp. 739-763.
- 38. "Founders' characteristics, venture capital syndication and governance in entrepreneurial IPOs" (with Wright M, Chahine S, and Arberk M), *International Entrepreneurship and Management Journal*, 2005, 1(4), pp. 419-439.
- 39. "Corporate governance and performance in publicly listed, family-controlled firms: evidence from Taiwan" (with Piesse J, Yung-Chih Lien), *Asia Pacific Journal of Management*, 2005, 22, pp. 257-283.
- 40. "Strategy research in emerging economies: challenging conventional wisdom" (with Wright M, Peng M, and Hoskisson R), *Journal of Management Studies*, 2005, 42 (1), pp. 1-33.
- 41. "Corporate governance, business strategy and the dynamics of networks: a theoretical model and application to the British cotton industry, 1830-1980" (with Toms S), *Organization Studies*, 2004, 25(4), pp. 449-472.
- 42. "Governance of the entrepreneurial threshold firm: a knowledge-based perspective" (with Zahra S), *Journal of Management Studies*, 2004, 41(5), pp. 883-895.
- 43. "Is stakeholder corporate governance appropriate in Russia?" (with Wright M, Buck T), *Journal of Management and Governance*, 2003, 7, pp. 263-290.
- "Do outside directors and new managers help improve firm performance? An exploratory study of Russian privatisation" (with Peng, M, and Buck, T), *Journal of World Business*, 2003, 38, pp. 348-360.
- 45. "Governance, organizational capabilities, and restructuring in transition economies" (with Wright M., Ullenbruck K., Tihanyi L, and Hoskisson R), *Journal of World Business*, 2003, 38, pp. 331-347.
- 46. "Insider ownership, human resource strategies and performance in a transition economy" (with Buck, T, Demina, N and Wright, M), *Journal of International Business Studies*, 2003, 34, pp. 530-549.

- 47. "Network positioning and R&D activity: a study of Italian groups" (with Piga C and Demina N), *R&D Management*, 2003, 33(1), pp. 37-48.
- 48. "Corporate governance, strategy and survival in a declining industry: a study of UK cotton textile companies" (with Toms S), *Journal of Management Studies*, 2003, 40(4), pp. 895-920.
- 49. "Board composition, share ownership and 'underpricing' of UK IPO firms" (with Bishop K), *Strategic Management Journal*, 2002, 23(10), pp. 941-955.
- 50. "Foreign partner selection in the former Soviet Union" (with Bishop K, Wright M and Buck T), *Journal of World Business*, 2002, 39(3), pp. 165-179.
- 51. "Post-privatisation effects of management and employee buyouts" (with Buck T and Wright M), *Annals of Public and Cooperative Economics*, 2002, 73(3), pp. 303-352.
- 52. "Determinants of the equity share of foreign investors in Hungarian firms: dominant shareholder position versus control over exporting" (with Bishop K, Mickiewicz, T), *Acta Oeconomica*, 2002, 52(4), pp.443-471.
- 53. "Strategic choices, export orientation and corporate governance in privatized firms in Russia, Ukraine and Belarus: theory and empirical evidence" (with Demina N, Buck T and Wright M), *Journal of International Business Studies*, 2001, 32(4), pp. 853-871.
- 54. "The effects of ownership concentration on investment and performance in privatized firms in Russia" (with Kapelyushnikov R, Demina N). *Managerial and Decision Economics*, 2001, 22, pp. 299-313.
- 55. "Downsizing in privatized firms in Russia, Ukraine and Belarus" (with Buck T, Zhukov V). *Academy of Management Journal*, 2000, 43(3), pp. 286-304.
- 56. "Different path to economic reform in Russia and China: causes and consequences" (with Nolan P, Buck T, Wright M). *Journal of World Business*, 2000, 35(4), pp. 379-400.
- 57. "Globalisation: the implications for exports from marginalised economies" (with Morrissey O). *Journal of Development Studies,* 2000, 37(2), pp. 1-12.
- 58. "Exporting activity in transitional economies: an enterprise-level study" (with Demina N, Buck T and Wright M). *Journal of Development Studies*, 2000, 37(2), pp. 44-66.
- 59. "Corporate entrepreneurs and privatized firms in Russia, Ukraine and Belarus" (with Wright M, Buck T, Zhukov V). *Journal of Business Venturing*, 1999, 14(5/6), pp. 475-492.
- 60. "Insider-controlled firms in Russia" (with Bleaney M, Wright M). *Economics of Planning*, 1999, 32(2), pp. 129-151.
- 61. "Corporate governance and employee ownership in an economic crisis: enterprise strategies in the former USSR" (with Buck T, Wright, M, Zhukov V). *Journal of Comparative Economics*, 1999, 27, pp. 459-474.
- 62. "Privatisation, insider control and managerial entrenchment in Russia" (with Bleaney M, Wright M). *Economics of Transition*, 1999, 7(2), pp. 481-504.

- 63. "Russian mass privatisation: what has been achieved?" (with Wright M, Buck T, Zhukov V). *International Journal of Business*, 1999, 4(2), pp. 23-40.
- 64. "Exporting and restructuring in privatized firms in Russia, Ukraine and Belarus" (with Demina N, Wright M, Buck), *World Economy*, 1999, 22(7), pp. 1013-1037.
- 65. "Revitalising Russian enterprises' (with Hoskisson R, Wright M, and Buck T). Academy of *Management Executive*, 1998, 12(6), pp. 74-85.
- 66. "Bank and investment fund monitoring of privatized firms in Russia" (with Wright M, Buck T). *Economics of Transition*, 1998, 6, pp. 361-387.
- 67. "Dominant shareholders, restructuring and performance of privatized companies: an analysis and some policy implications" (with Aukutsionek S, Kapelushnikov R, Zhukov V). *Communist Economies and Economic Transformation*, 1998, 10(4), pp. 495-517.
- 68. "Agents, stakeholders and corporate governance in Russian firms" (with Buck T and Wright M). *Journal of Management Studies*, 1997, 35(1), pp. 81-104.
- 69. "Venture capital in emerging markets: a case of Hungary" (with Karsai J and Wright M). *Entrepreneurship: Theory and Practice*, 1997, 21(4), pp. 93-110.
- 70. "Privatisation and corporate governance in transitional economies", *World Economy*, 1997, 20 (4), pp. 497-510.
- 71. "Corporate restructuring in Russian buy-outs" (with Hoskisson R, Wright M, and Buck T), *California Management Review*, 1996, 38 (2), pp. 87-106.
- 72. "Privatisation and industrial restructuring in the Ukraine" (Van Frausum Y, Wright M, and Buck T), *Communist Economics and Economic Transformation*, 1996, 8 (2), pp. 185-203.
- 73. "Governance, finance and buy-outs in Russia, Poland and Hungary" (with Grosfeld I, Karsai J, Wright M, and Buck T), *Economics of Transition*, 1996, 4(1), pp. 67-88.
- 74. "The process and impact of privatisation in Russia and Ukraine" (with Buck T and Wright M). *Comparative Economic Studies*, 1996, 38(2/3), pp. 45-69.
- 75. "The life-cycle of privatised firms in Russia" (with Buck T and Wright M), *Journal of East-West Business*, 1996, 2(3/4), pp. 63-75.
- 76. "The geographical impact of the Russian privatisation program" (with Bradshaw R.), *Post-Soviet Geography*, 1995, 36(6), pp. 371-385.
- 77. "Corporate governance and voucher buy-outs in Russia" (with Buck T and Wright M), *Annals of Public and Cooperative Economics*, 1995, 66(1), pp. 77-99.
- 78. "The re-integration of State enterprises of the former USSR" (with Buck T and Wright M), *Journal of East-West Business*, 1995, 1(2), pp. 5-27.
- 79. "Accountability and efficiency of buy-outs in Central and Eastern Europe" (with Robbie K, Wright M, Buck T), *Financial Accountability and Management*, 1994, 10(3), pp. 195-215.
- 80. "Institutional transformation in Russia: a transaction costs approach" (with Kochevrin Y, Bradshaw R), *Economics of Transition*, 1994, 2(3), pp. 373-390.

- 81. "Employee buy-outs and the transformation of Russian industry" (with Buck T, Wright M), *Comparative Economic Studies*, 1994, 36(2), pp. 1-17.
- 82. "Marketing after communism: the case of the former USSR" (with Ennew C), *European Journal of Marketing*, 1993, 27(11), pp. 46-53.
- 83. "Ethical challenge of management buy-outs as a form of privatisation in Central and Eastern Europe" (with Starkey K, Wright M), *Journal of Business Ethics*, 1993, 13, pp. 75-84.
- 84. "The military-industrial complex of the former USSR: asset or liability?" (with Buck T, Wright M), *Communist Economics and Economic Transformation*, 1993, 5(2), pp. 187-204.
- 85. "Management buy-outs and the transformation of central and eastern Europe" (with Buck T, Wright M), *International Business Review*, 1993, 2(3), pp. 239-252.
- 86. "Privatisation and entrepreneurship in the break-up of the USSR" (with Buck T, Wright M), *World Economy*, 1993, 15(4), pp. 1-20.
- 87. "Some observations on migration within and from the former USSR in the 1990s" (with Cole J), *Post-Soviet Geography*, 1992, 33(7), pp. 432-453.
- 88. "The Soviet hyperinflation: its origins and impact throughout the former Republics" (with Bradshaw R), *Soviet Studies*, 1992, 44(5), pp. 739-759.
- 89. "Privatization and buy-outs in the USSR" (with Buck T, Wright M), *Soviet Studies*, 1992, 44(2), pp. 265-282.
- 90. "Les perspectives de la privatisation en URSS". Revue Française d'Economie, 1991, 5(2), pp. 43-73.
- 91. "Privatisation in the USSR: economic and social problems", *Communist Economics and Economic Transformation*, 1991, 3(4), pp. 481-498.
- Books/monographs/reports
- 1. "Corporate Governance and the Business Life-cycle" (ed.). London, New York: Edward Elgar, 2010.
- 2. "Financing, Business Strategy, Corporate Governance and Growth of Medium Sized Business: An Exploratory Comparison the UK and Germany" (with Jackson G). London: ICAEW, 2009.
- 3. "Key Drivers of 'Good' Corporate Governance and the Appropriateness of Policy Responses in the UK" (with Jackson G, Gospel H, Allcock D), London: the DTI, 2007.
- 4. "Corporate Governance Life-Cycle" (co-editor with Wright M), London, New York: Edward Elgar, 2005.
- 5. "*Corporate Governance, Human Resource Management and Firm Performance*" (co-editor with Guest D), London: DTI, May 2005.
- 6. "Globalisation and Trade: The Implications for Exports from Marginalized Economies" (co-editor with Morrissey O), Frank Cass, 2001.

- 7. "Management and Employees Buy-outs in Central and Eastern Europe" (with Wright M, Robbie K, Ellerman D and Buck T), European Bank for Reconstruction and Development, London: EBRD, 1993.
- Book chapters and refereed conference proceedings.
- "Liability of foreignness: New insights from capital markets" (with R. Greg Bell, and Abdul A) in Advances in International Management, Vol 23, edited by Devinney T, Pedersen T and Tihanyi L. 2010 forthcoming.
- 2. "Post-privatization effects of management and employee buy-outs" (with Wright M, Buck T), in *Private Equity and Management Buy-outs*, edited by Wright M., Bruining, H. Edward Elgar (Cheltenham), 2008, pp. 306-349.
- 3. "Private benefits of control and debt financing" (with Mickiewicz T), in "Corporate governance and Finance in Poland and Russia", edited by T Mickiewicz, New York: Palgrave McMillan, 2006, pp. 159-176.
- 4. "Corporate governance and business strategies in Russia" in "Emerging Economies and Transformation of International Business. Brazil, Russia, India and China", edited by S Jain, Cheltenham: Edward Elgar, 2006.
- 5. "Corporate governance and financial constraints on strategic turnarounds" (with S Toms) in "Corporate Governance Life-Cycle", edited by I Filatotchev and M Wright, London, New York: Edward Elgar, 2005.
- 6. "Venture capitalists, syndication and governance in Initial Public Offerings" (with Wright M, Arberk, M) in "Corporate Governance Life-Cycle", edited by I Filatotchev and M Wright, London, New York: Edward Elgar, 2005.
- 7. "The firm's life-cycle and the dynamics of corporate governance: Overcoming governance "thresholds", in "*Corporate Governance, Human Resource Management and Firm Performance*", edited by I Filatotchev and D Guest, London: DTI, May 2005.
- 8. "Privatization: buy-outs, corporate governance abuses and challenges" (with Wright M and Buck T) in *Corporate Governance in Russia*, ed. by D J McCarthy, S Puffer and S Shekshnia, Cheltenham: Edward Elgar, 2004, pp. 262-286.
- 9. "Networks, corporate governance and the decline of the Lancashire textile industry" (with S Toms), in *Industrial Clusters and Regional Business Networks: Theory and Facts in Three British Regions*, ed. by J Wilson and A Popp, London: Ashgate Publishing, 2003, pp 68-89.
- "Privatisation and corporate governance in transition economies: theory and concepts", in *Handbook on Privatisation*, ed. by D. Parker and D.Saal, London, New York: Edward Elgar, 2003, pp. 323-346.
- 11. "Corporate entrepreneurs and privatized firms in Russia, Ukraine and Belarus" (with Wright M, Buck T, Zhukov V) in *Privatization and Globalization: The Changing Role of the State in Business*, ed by S. R. Mudambi, London, New York: Edward Elgar, 2003.
- 12. "Exporting, entry modes and transition: A case-comparison between Russia and China" (with Nolan P, Buck T and Wright M) in *International Business. European Dimensions*, ed. by M Hughes and J Taggart, London: Palgrave, 2001, pp. 193-211.

- 13. "Exporting activity in transitional economies: An enterprise-level study" (with Demina N, Buck T and Wright M) in *Globalisation and Trade: The Implications for Exports from Marginalised Economies*, ed. by O Morrissey and I Filatotchev, Frank Cass, 2001, pp. 44-66.
- 14. "Employee ownership and employment: The case of Russian privatized firms" (with Sharpley G, Wright M, Buck T) in *International Business Organization. Subsidiary Management, Entry Strategies and Emerging Markets*, ed. by F Burton, M Chapman and A Cross, London: Macmillan Press Ltd, 1999, pp. 238-257.
- 15. "Corporate governance in Central and Eastern Europe" (with Wright M, Buck T) in *Corporate Governance: Economic, Management, and Financial Issues*, ed. by K Keasy, S Thompson and M Wright, Oxford: OUP, 1997, pp. 212-233.
- 16. "Entrepreneurship and privatized firms in Russia and Ukraine: Evidence on performance" (with Wright M, Buck T), in *Frontiers of Entrepreneurship Research*, Proceedings of the 16th Annual Entrepreneurship Conference, Seattle, USA, March 1996, Babson College, Mass.: Centre for Entrepreneurial Studies, pp. 644-659.
- 17. "Buy-outs and the transformation of Russian industry" (with Wright M, Buck T), in *International Business and European Transition*, ed. by F Burton, S Young and M Yamin, London: Macmillan, 1996, pp. 145-167.
- 18. "Privatisation buy-outs in Russia" (with Wright M, Buck T), *Foreign Trade and Investment Review*, edited by I Rounov and A Brown, Volume 3, 1995, London: Sterling Publications, pp. 181-191.
- 19. "Buy-outs and the transformation of Russian industry" (with Wright M., Buck T.), in *Changing European Environment*, Proceedings of the 21st Annual Conference of the UK Academy of International Business, UMIST, March 25-26, 1994, pp. 520 562.
- 20. "Buy-outs in Russia" (with Wright M, Buck T), in *The International Review for Chief Executive Officers. Russia and the New Republics*, ed. by R Davies and A Brown, Part I, 1994, London: Sterling Publications, pp. 283-291.
- 21. "Buy-outs and transformation of Central and Eastern Europe" (with Wright M, Buck T), in *Management & Employee Buy-outs as a Technique of Privatisation*, ed. by D Ellerman, CEEPN Workshop Series; No 3, Liubljana, Slovenia, 1993, pp. 72-101.
- 22. "The re-integration of state enterprises of the former USSR" (with Wright M, Buck T) in *Internationalisation Strategies*, Proceedings of the 20th Annual Conference of the UK Academy of International Business, Pontypridd, April 5-6, 1993, pp. 56-67.
- 23. "Restructuring Eastern Europe: The case of buy-outs in the USSR" (with Wright M., Buck T.), in *The Political Economy of Privatisation*, ed. by T Clarke & C Pitelis, London, New-York: Routledge, 1993, pp. 410-437.

• Other publications.

- 1. "*In search of silver bullet: A discussion on corporate governance*", 2009; London: Bank of New York Mellon, 11 p.
- 2. "Getting off the ground with good governance", *Professional Investor*, 2003, March, pp.12-16.

- 3. "Corporate governance, strategy and survival in a declining industry: a study of UK cotton textile companies" (with S Toms), *Icfaian Journal of Management Research*, 2003, 2(1), pp. 47-66.
- 4. "Behind the myth of IPOs" (with Lam M), *Professional Investor*, 2002, March, pp.9-12.
- "Problems of restructuring of former state-owned enterprises in Russia, Hungary and China: case studies of car-making firms" (with Swain A), *Russian and Euro-Asian Bulletin*, 1997, 6(6), pp. 1-11.
- 6. "Soviet all-Union enterprises as new multinationals of the CIS" (with Buck T, Wright M), *International Executive*, 1993, 35(6), pp. 525-539.
- 7. "Central and Eastern Europe: the rocky road to capitalism" (with Wright M, Buck T), *Acquisitions Monthly*, 1993 March Supplement, pp. 65-67.
- 8. "Corporate transactions are taking off in Eastern Europe. Russia and Germany lead" (with Wright M, Buck T), *Financial Times* Survey "Management Buy-Outs", Wednesday December 8, 1993, p. XI.
- 9. "Buy-outs back on the agenda" (with Wright M, Buck T), *Financial Times* Survey "Management Buy-Outs", Thursday December 3, 1992, p. 8.
- 10. "Buy-outs and the transformation of Central and Eastern Europe" (with Wright M, Buck T), *European Venture Capital Journal*, 1992, December/January, pp. 21-32.

3. Work in progress.

- 1. "Strategic and institutional effects on foreign IPO performance: examining the impact of country of origin, corporate governance, and host country effects" (with Bell R, Moore, C) *Journal of Business Venturing* (second revise & resubmit).
- 2. "Returnee entrepreneurs, knowledge spillovers and innovation in high-technology firms in emerging markets" (with Xiaohui Liu, Wright M) *Research Policy* (revise & resubmit).
- 3. The Effects of R&D, Financial Leverage and Size on Investors' Growth Expectations: A Study of Newly Listed Firms in Europe" (with Chahine, S, and Piesse, J), *International Review of Financial Analysis* (revise & resubmit).
- 4. "Human Mobility, Global Networks and International Knowledge Spillovers: Evidence from Chinese High-tech Small and Medium Enterprises" (with Liu, H. Jiangyong Lu and Wright M) *Strategic Entrepreneurship Journal* (revise & resubmit).
- 5. "Foreign IPO capital market choice: understanding the institutional fit of corporate governance" (with Bell R, Moore C, Rasheed A) *Strategic Management Journal* (revise & resubmit).
- 6. "Beyond product markets: New insights on liability of foreignness from capital markets" (with Bell G, Rasheed A) *Academy of Management Review* (under review).
- 7. "The effects of board independence and auditors' audit and non-audit fees on IPO value" (with Chahine S), *European Accounting Review* (under review).

- 8. "FDI Location Choices of Firms from Emerging Economies: The Effects of Host Country Institutions and Home Government's Support" (with Liu, H. Jiangyong Lu and Wright M), *Journal of International Businesses Studies*, (under review).
- 9. "Learning at home how to invest abroad": Domestic diversification, top management teams and international expansion of firms from emerging markets" (with Liu, H. Jiangyong Lu and Wright M) in progress.
- 10. "Market Orientation, Export Channel Selection and Export Performance: Evidence from an Emerging Market" (with He X, Brouthers K) in progress.
- 11. "Shareholder Activism in the UK: Types of Activists, Forms of Activism, and Their Impact on a Target's Performance" (with Dotsenko O) in progress.
- 12. "Corporate Governance and Foreign IPO Performance: A Configurational Perspective" (with Bell G and Aguilera R), in progress.

4. Research leadership and enabling.

• Centre for Research on Corporate Governance (CRCG)

I am a Director of CRCG based in the Cass Business School. CRCG focuses on multi-disciplinary research into corporate governance issues occurring at national, European and international levels. CRCG's aims are to carry out interdisciplinary research at national, European and global levels around research themes involving boards of directors, shareholder activism, auditing, risk management, business ethics, and corporate governance effects on performance; to serve as a centre for sharing information, bringing together academics, practitioners and policy-makers. CRCG's work covers the following activities:

- Undertaking funded research and producing publications and reports for policy-makers and practitioners
- Organising workshops, seminars and major conferences to support a wide range of cuttingedge research studies within various corporate governance fields
- Organising a Roundtable Forum to promote debate, disseminate research results and contribute to public debates on corporate governance
- Recruiting post-graduate research students (M Phil and PhD) to work on areas within governance-related research themes

The Centre has also organised a number of workshops at the British Academy of Management and US Academy of Management conferences.

5. Research grants.

• External research grants and research sponsorship

- 2009 (with Peter Hahn) Bank of New York Mellon sponsorship for conference "Can Corporate Governance Make a Difference in Banks?" (£7000)
- 2007 (with G Jackson) Institute of Chartered Accountants of England and Wales grant for research project "Corporate Governance, Business Strategy and Growth of Medium Sized Business: An Anglo-German Comparison" (£5500).

- 2005 (with H Gospel and G Jackson) the UK Department of Trade and Industry grant for research project "Identifying the Key Drivers of 'Good' Corporate Governance and the Appropriateness of Policy Responses" (£80,000).
- 2005 Ernst & Young LLP grant for a pilot research project "Business Strategy and Long-term Performance of Entrepreneurial IPOs" (£6,000)
- 2004 Institute of Chartered Accountants of England and Wales grant for research project "Corporate Governance, Financial Control, and Firm Performance: A study of Initial Public Offerings in the UK" (£40,000).
- 2003 Robson Rhodes LLP sponsorship of the International Conference "Corporate Governance Lifecycle" (£10,000).
- 2001 The British Academy grant for research project "Corporate Governance Life-Cycle: An Investigation of Initial Public Offerings in the UK" (£4,700).
- 2000 (with T Mickiewicz) The EU Phare-ACE grant for research project "Corporate Governance, Relational Investors, Strategic Restructuring and Performance in Hungary and Poland" (Euro 120,000).
- 1998 (with B Chiplin and T Buck) Leverhulme Trust grant for research project "Strategic Restructuring in Russian Companies with Traded Shares" (£75,000).
- 1996 (with O Morrissey) The Department For International Development grant for research project "Responding to Changing Environment for Trade and Enterprise" (£163,280).
- 1995 (with I Grosfeld, M Wright and T Buck) The EU Tacis-ACE grant for research project "Postprivatisation Governance and Finance of Russian Industry" (ECU 62,000).
- 1995 (with P Nolan and T Buck) The Nuffield Foundation grant for research project "Prospects for Large Industrial Plants in Russia and China" (£ 5,000).
- 1995 (with Y Van Frausam) The EU-Tacis Programme and Technical Office of EU Delegation in Ukraine grant for research project "Privatised Enterprises in Ukraine" (ECU 19,000).
- 1994 (with M Bleaney, T Buck, and M Wright) The ESRC grant for research project "Privatisation of Industrial Enterprises in Russian Federation" (£ 8,000)*
- 1992 (with M Wright, K Robbie and T Buck) The European Bank for Reconstruction and Development and the Central and Eastern European Privatisation Network grant for a Technical Note "Management and Employee Buy-outs in Central and Eastern Europe" (£ 26,000).

• Internal research grants.

- 2008 Cass Business School grant for a Research Fellow to support research project "Private Equity Investment and Corporate Governance of UK IPOs" (£42,000)
- 2001 The Bradford University School of Management grant for a Research Assistant and a full-time PhD student to support research project "Corporate Governance Life-cycle of UK IPOs" (£28,000 per year, three years of funding)

^{*} This research project was rated as 'outstanding' by the ESRC Research Board.

- 2000 Birkbeck College, Faculty of Social Sciences Research grant to acquire Primark 'Global Access' Database to be used in research on corporate governance life-cycle in the UK (£ 3,000).
- 2000 (with P Dewe) Birkbeck College, Faculty of Social Sciences Research grant to fund a part-time research assistant, research project "Employers' Perceptions of the Psychological Contract: Reconceptualising the Employment Relationship in Transition" (£ 3,000).
- 1999 University of Nottingham grant for a case study research work in China (£ 4,927).
- 1997 University of Nottingham Institute of Russian, Soviet, Central and East European Studies grant to fund a three-month research visit to Nottingham of Professor Kapelushnikov from the Russian Economic Barometer, Moscow, Russian Federation, (£ 5,000).
- 1993 Nottingham University's New Lecturers grant for research project "Industrial Restructuring and Privatisation in the Former USSR" (£ 2,000).
- 1993 (with R Bradshaw) University of Nottingham Institute of Russian, Soviet, Central and East European Studies grant to fund a three-month research visit to Nottingham of Professor Kochevrin from the Institute of World Economy and International Relations, The Russian Academy of Sciences, Moscow, Russian Federation, (£ 5,000).

6. Nominations and Awards

- 2009 Nominated as a Cecil and Ida Green Honours Professor, the M. J. Neeley School of Business, Texas Christian University, USA.
- 2008 Cass Business School award for an outstanding contribution through publications in journals of the highest standing.
- 2006 Emerald's award for one of top fifty management articles in 2006 ("Corporate governance and financial constraints on strategic turnarounds" (with Toms S), *Journal of Management Studies*, 2006, 43(3), pp. 407-433.)

7. Organisation of conferences and selected presentations.

• Research conferences and business forums.

I have a considerable experience in organising conferences and workshops on various themes related to corporate governance, strategy and international business research. The proceedings of some of these forums have been published as edited books and special issues of academic journals, such as *Journal of Management Studies*, *Journal of Comparative Economics*, *Journal of Development Studies*, and *World Economy*.

In 2009, I organized an international conference "*Can Corporate Governance Make a Difference in Banks*?". The Conference was sponsored by Bank of New York Mellon. The Conference was focused on the past strengths and weaknesses of bank governance and potential improvements. The Conference's speakers included members of the Treasury Select Committee, The Financial Services Authority, and representatives of shareholder and non-executive communities.

In 2008, I organized an international conference "Barbarians at the Gates? Assessing the Impact of Foreign IPOs and Sovereign Investors in the UK and USA". The Conference was related to a research project being undertaken in collaboration with Prof. G. Bell (University of Dallas, USA) and Prof. C.

Moore (Texas Christian University, USA) that focused on a comparative study of corporate governance and business strategy of foreign IPOs in the UK and USA. In addition, the CRCG has invited a number of distinguished academics and the investor community practitioners to give presentations on the impact of foreign private equity firms and sovereign wealth funds on the stock-markets and corporate sectors in the UK, US and other developed countries.

In 2005, I co-organized a Business Forum on "*New Dimensions of Strategic Planning*" in collaboration with KCL Enterprises Ltd. The Forum's participants represented various organisations, including the DTI, Vodaphone, Control Risks Group, Arup and BAT. Among the presenters were Sir L Freedman, Prof. M Clarke and Dr R Kent. This event helped to develop further collaborative links between King's College and industry partners.

In 2004, I organized a conference "*Corporate Governance, Human Resource Management and Firm Performance: The Research Agenda and Practical Implications*" for the Department of Trade and Industry. The Conference participants included leading researchers on corporate governance and HRM issues, as well as representatives of the Government. The Conference proceeding were published by the DTI in 2005.

In 2003 I organised an International Conference on "*Corporate Governance Life-cycle*" that was held in Bradford University Business School (10-11 April 2003). The Conference participants included leading researchers on corporate governance issues from the UK and USA, as well as representatives of the Government and business community, such as the DTI, Institute of Directors, National Association of Pension Funds, Association of British Insurers, UK Society of Investment Professional, British Venture Capital Association, etc. I played a key role in securing sponsorship of the Conference by Robson Rhodes, Yorkshire Forward and the British Academy of Management. Conference papers were published in a book "*Corporate Governance Life-Cycle*" (co-edited with Wright M), London, New York: Edward Elgar, 2005.

In 2003, I was an advisor and panel chair at the Strategic Management Society Conference on "*Strategic Management in Emerging Economies*" (Hong-Kong, December 2003). A number of selected papers were published in a special issue of the *Journal of Management Studies*, of which I was a guest co-editor.

I was serving as a Track Chair ("*Corporate Social Responsibility*") at the British Academy of Management Annual Conferences, September 2003 (Harrogate); September 2004 (St Andrews); September 2005 (Oxford).

I have also served as a reviewer, panel chair and discussant in a number of leading international conferences, including the Academy of Management, Academy of International Business and British Academy of Management Conferences.

• Selected presentations at international conferences and workshops.

- 1. "Domestic diversification, top management teams and international expansion of firms from emerging markets" (with Liu X, Wright M, Lu J) presented at <u>International Association for Chinese Management Research 2010 Conference</u>, Shanghai, China, June 16-20. *This paper has received a 2010 Conference Best Paper Award*.
- 2. "Corporate governance and institutional theory: An emerging research agenda", presented at the annual <u>EURAM Conference</u>, Rome, Italy, 18-22 May 2010.
- 3. "Institutional change and international entrepreneurship: Sarbanes-Oxley and the performance of foreign IPOs in the US" (with Bell G and Moore C), presented at the <u>2010 US Association of Small</u> <u>Business and Entrepreneurship Conference</u>, Nashville, Tennessee, January 14-17, USA.

- 4. "Foreign IPO performance: A configurational perspective" (with Bell G., Aguilera, R), presented at the <u>2009 Strategic Management Society Conference</u>, Washington DC, USA.
- 5. "International Human Mobility, Knowledge Spillovers and Innovation in High-tech Firms in Emerging Economies" (with Buck, T, Xiaohui Liu, Wright M, Lu J), presented at the International Management Division of the <u>2009 Academy of Management Conference</u>, Chicago, USA.
- 6. "Foreign IPO capital market choice: understanding the institutional fit of corporate governance, reputation and organizational identity" (with Bell R, Moore C, Rasheed A), presented at Entrepreneurship Division of the <u>2009 Academy of Management Conference</u>, Chicago, USA.
- 7. "Sarbanes-Oxley and foreign IPO performance: Examining the changing role of board interests following a regulatory punctuation" (with Bell R, Moore C) presented at the <u>2009 Academy of International Business Conference</u>, San Diego, USA.
- 8. "Strategic and institutional effects on foreign IPO performance: examining the impact of country of origin, corporate governance, and host country effects" (with Bell R, Moore, C), presented at the <u>Strategic Management Society 2008 Annual Meeting</u>, Cologne, Germany.
- "The export orientation and export performance of high-technology SMEs in emerging markets: The effects of knowledge transfer by returnee entrepreneurs" (with Buck, T, Xiaohui Liu, Wright M), presented at the International Management Division of the <u>2008 Academy of Management</u> <u>Conference</u>, Anaheim, USA.
- 10. "Strategic and Institutional Effects on Foreign IPO Performance in the UK and USA: Examining the Impact of Corporate Governance, Country of Origin and Host Country Factors" (with Moore C and Bell G), presented at the Business Policy and Strategy Division of the <u>2008 Academy of Management Conference</u>, Anaheim, USA.
- 11. "Strategic Choices and Growth of Newly Listed Firms in Europe: The Effects of an "IPO Imprint" (with Piesse J), presented at the International Management Division of the <u>2008 Academy of Management Conference</u>, Anaheim, USA.
- 12. "Determinants of international corporate entrepreneurship in emerging markets" (with Strange R, Piesse J, Lien L) presented at the <u>2008 Academy of International Business Conference</u>, Milan, Italy.
- 13. "Returnee entrepreneurs, knowledge spillovers and innovation in high-technology firms in emerging markets" (with Xiaohui Liu, Wright M). presented at the <u>2008 Academy of International Business Conference</u>, Milan, Italy.
- 14. "Governance, ownership concentration and performance of IPO firms: the impact of different types of private equity investors and legal institutions in two European nations" (with Bruton G, Chahine S, Wright M), presented at the Entrepreneurship Division of the <u>2007 Academy of Management Conference</u>, Philadelphia, USA. *This paper has been selected for inclusion in Best Paper Proceedings of the 2007 Academy of Management Meeting*.
- "The export orientation and export performance of high-technology SMEs in emerging markets: The effects of knowledge transfer by returnee entrepreneurs " (with Buck, T, Xiaohui Liu, Wright M), presented at the International Management Division of the <u>2007 Academy of Management</u> <u>Conference</u>, Philadelphia, USA.

- 16. "Strategic flexibility, governance and knowledge in threshold firms" (with Zahra S and Wright M) presented at the Business Policy and Strategy Division of the <u>2007 Academy of Management</u> <u>Conference</u>, Philadelphia, USA.
- 17. Risk exposure and FDI location decisions" (with Strange R, Piesse J, Lien L) presented at the <u>2007</u> <u>Academy of International Business Conference</u>, Indianapolis, USA.
- 18. "Signaling theory and entrepreneurial IPOs: the impact of founders' ownership, social capital, and investors in the venture "(with Bruton G, Chahine S), presented at the Entrepreneurship Division of the <u>2006 Academy of Management Conference</u>, Atlanta, USA. *This paper has been selected for inclusion in Best Paper Proceedings of the 2006 Academy of Management Meeting*.
- 19. "Ownership structure, strategic controls and exporting of foreign-invested firms in transition economies (with Jindra B, and Stephan J), presented at the International Management Division of the 2006 Academy of Management Conference, Atlanta, USA.
- 20. "R&D, export orientation and growth of newly listed firms: European evidence" (with Dampare, J, and Piesse, J), presented at the International Management Division of the <u>2006 Academy of Management Conference</u>, Atlanta, USA.
- 21. "Corporate Governance, Exporting and Performance of Firms in Transition Economies" (with Isachenkova N, Mickievicz, T), presented at the International Management Division of the 2005 <u>Academy of Management Conference</u>, Hawaii, USA. *This paper has been selected for inclusion in Best Paper Proceedings of the 2005 Academy of Management Meeting*.
- 22. "Can Independent Distribution Function as a Mode of Governance? A Study of Life Insurance Market" (with Ward, D.), presented at the Business Policy and Strategy Division of the 2005 Academy of Management Conference, Hawaii, USA.
- 23. "Signaling The Firm's Value": Information Disclosure, Corporate Governance and Performance of IPOs" (with Chahine, S) presented at the Entrepreneurship Division of the <u>2005 Academy of Management Conference</u>, Hawaii, USA.
- 24. "Corporate Governance and the FDI Decision: Evidence from Taiwan" (with R Strange, J Piesse, Y-C Lien) presented at the 2005 Academy of International Business Conference, Quebec City, Canada.
- 25. "Do Venture Capitalists Certify and Monitor New Issues?" (with S Chahine), presented at the European Financial Management Association 2005 conference, Milan, Italy.
- 26. "Corporate governance trends in the UK and USA", a Keynote Speech at the opening of the Centre for Corporate Governance Research, Copenhagen Business School, March 2005.
- 27. "Corporate governance life-cycle and business strategy" (with M Wright), presented at Professional Development Workshop "Promoting Innovation in New Ventures Through Governance", the 2004 Academy of Management Conference, New Orleans, USA.
- 28. "Corporate governance constraints on strategic turnarounds" (with S Toms) presented at the Business Policy and Strategy division of the <u>2004 Academy of Management Conference</u>, New Orleans, USA.
- 29. "Venture capitalists, syndication and governance in Initial Public Offerings" (with Wright M, Arberk, M) presented at the Entrepreneurship division of the <u>2004 Academy of Management</u> <u>Conference</u>, New Orleans, USA.

- 30. "Corporate governance, ownership concentration and performance in emerging markets: A "principal-principal" perspective" (with J Piesse, N Demina and R Kapelushnikov) presented at the 2004 Academy of International Business Conference, Stockholm, Sweden.
- 31. "Corporate governance and performance in publicly listed, family-controlled firms: Evidence from Taiwan" (with J Piesse, Y-C Lien) presented at the <u>2004 Academy of International Business</u> <u>Conference</u>, Stockholm, Sweden.
- 32. Corporate governance, strategic flexibility, exporting and performance of firms in Poland and Hungary" presented at the <u>4th International Conference "Transition and Enterprise Restructuring in Eastern Europe"</u>, Copenhagen Business School, 2004, Copenhagen, Denmark.
- 33. "Learning through corporate boards: A knowledge-based model of governance development in entrepreneurial IPOs", presented at the Entrepreneurship division of the <u>2003 Academy of Management Conference</u>, Seattle, USA.
- 34. "Going public with good governance: Board selection and share ownership in UK IPO firms" presented at the Business Policy and Strategy division of the <u>2003 Academy of Management</u> <u>Conference</u>, Seattle, USA.
- 35. "Corporate governance, network dynamics and a firm's strategic flexibility: A multi-lens perspective" (with Toms S), presented at the <u>2003 Academy of International Business Conference</u>, Monterrey, USA.
- 36. "Insider ownership, human resource strategies and performance: A structural equation modeling framework" (with Buck Y, Demina N, Wright M), presented at the <u>2003 Academy of International Business Conference</u>, Monterrey, USA.
- 37. "Corporate governance and performance in publicly-owned, family controlled firms: Evidence from Taiwan" (with Piesse J, Lien Y), presented at the <u>Strategic Management Society Conference</u> on "*Strategic Management in Emerging Economies*", Hong-Kong, June 2003
- 38. "Corporate governance, business strategy and the dynamics of networks" (with Toms S), presented at the Management History division of the <u>2002 Academy of Management Conference</u>, Denver, USA.
- 39. "Board selection and share ownership in UK IPOs", presented at the Business Policy and Strategy division of the 2002 Academy of Management Conference, Denver, USA.
- 40. "Large-block shareholders, board selection and performance in largest firms in Hungary" (with K Bishop), presented at the <u>2002 Academy of International Business</u> conference, Puerto Rico.
- 41. "Corporate governance effects on strategic restructuring in transition economies" (with R Hoskisson, K Ullenbruck, and Mike Wright), presented at the <u>2002 Academy of International Business</u> conference, Puerto Rico.
- 42. "Corporate governance, strategy and survival in a declining industry: A study of UK cotton textile companies" (with Toms S), presented at the Management History division of the <u>2001 Academy of Management Conference</u>, Washington, USA, August 2001.
- 43. "The effects of ownership concentration on investment and performance in privatized firms in Russia", presented at the Business Policy and Strategy division of the <u>2001 Academy of Management</u> <u>Conference</u>, Washington, USA, August 2001.

- 44. "Government, stakeholders and corporate governance in Russia" (with K Bishop, Buck T and Wright M), presented at the Business Policy and Strategy division of the <u>2001 Academy of Management Conference</u>, Washington, USA, August 2001.
- 45. "Privatization and Restructuring in Transition Economies: The Effects of Governance and Organizational Capabilities" (with Wright M, Uhlenbruck K, Tihanyi L and Hoskisson R), presented at the EGOS Annual Conference, Lyon, France, July 2001.
- 46. "Defining the role of stakeholders in corporate governance" (with K Bishop, Buck T and Wright M), presented at the OECD 4th meeting of the <u>Russian Corporate Governance Roundtable</u>, Moscow, June 2001.
- 47. "Strategic choices, export orientation and corporate governance in privatized firms in Russia, Ukraine and Belarus: Theory and empirical evidence" (with Demina N, Buck T and Wright M), presented at the International Management division of the <u>2000 Academy of Management</u> <u>Conference</u>, Toronto, Canada.
- 48. Co-Chair, "Management and Organization in Transition Economies: A Focus on a New Time" Symposium at the <u>2000 Academy of Management Conference</u>, Toronto, Canada.
- 49. "Post-privatization restructuring and firm performance in Russia: Theory and evidence" (with Peng M and Buck T), presented at the Business Policy and Strategy division of the <u>1999 Academy of Management Conference</u>, Chicago, USA.
- 50. "Organisational Changes and International Joint Ventures in the Emerging Markets of Russia, China and Hungary: Case Studies of Car-Manufacturing Firms" (with Swaine A), presented at the <u>European</u> <u>International Business Academy Annual Conference</u>, Jerusalem, Israel, December 1998.
- 51. "Strategic Responses to Organisational Decline in Privatized Firms in Russia, Ukraine and Belarus" (with Zhukov V, Buck T), presented at the <u>British Academy of Management Annual Conference</u>, University of Nottingham, September 1998.
- 52. "Investing in Insider-Controlled Firms: A Game-Theoretic Perspective" (with Hoffmann R), presented at the International Conference on <u>Transitional Economies and Societies</u>, Melbourne, Australia, July 1998.
- 53. "Corporate Entrepreneurship in Privatized Companies in Russia, Ukraine and Belarus" (with Zhukov V, Wright M, Buck T), presented at the <u>Entrepreneurship in Central and Eastern Europe and the former USSR Conference</u>, Stern School of Business, New York University, USA, November 1997.
- 54. "Revitalising Russian Enterprises' (with Hoskisson R, Wright M, and Buck T), presented at the <u>Strategic Management Society Annual Conference</u>, USA, October 1997.
- 1. "Agents, Stakeholders and Corporate Governance in Russian firms" (with Buck T and Wright M), presented at the <u>British Academy of Management Annual Conference</u>, London, September 1997.
- 55. "Entrepreneurs and Privatized Firms in Russia, Ukraine and Belarus" (with Wright M, Buck T) presented at the <u>16th Annual Entrepreneurship Research Conference</u>, University of Washington, Seattle, USA, March 1996.
- 56. "Buy-outs and the Transformation of Russian Industry" (with Wright M., Buck T.), presented at the <u>21st Annual Conference of the UK Academy of International Business</u>, UMIST, March 1994

- 57. "Privatisation and Corporate Restructuring in Russia", presented at the <u>International Trade and</u> <u>Finance Association Conference</u>, University of Reading, July 1994.
- 58. Soviet Military-Industrial Complex: Asset or Liability?" (with Wright M, Buck T), presented at the <u>Centre for International Security and Arms Control Conference</u> *Privatisation and Restructuring of Defence Sector Enterprises in Russia*, Stanford University of Stanford, USA, September 1994.
- 59. "The Re-integration of State Enterprises of the Former USSR" (with Wright M, Buck T), presented at the 20th Annual Conference of the UK Academy of International Business, Pontypridd, April 1993.
- 60. "Buy-outs in Russia", presented at the <u>Central and East European Privatisation Network (CEEPN)</u> <u>Conference</u>, *Management and Employee Buy-outs As a Technique of Privatisation*, Ljubljana, Slovenia, October 1992.
- 61. "Problems of Privatisation in the USSR", presented at the <u>18th European Association of Researchers</u> in Industrial Economics Annual Conference, Ferrara, Italy, September 1991.

8. Selected seminar presentations.

- Department of Management, University of York, November 2006
- Cass Business School, November 2006
- Davis Center for Russian and Eurasian Studies, Harvard University, April 2005
- University of Connecticut, Business School, April 2005.
- Tanaka Business School, Imperial College London, February 2005.
- London Business School, November 2004
- Copenhagen Business School, December 2004.
- Management School, University of Sheffield, March 2003.
- ESRC Centre for Business Research, University of Cambridge, February 2001
- School of Management, Royal Holloway, University of London, May 2000
- Leeds University Business School, April 2000
- Centre for Economic Policy Research, London, November 1999
- Department of Economics, Murdoch University, Perth, Australia, April 1997.
- Centre for Russian and Asian Studies, Melbourne University, Australia, April 1997.
- Départment et Laboratoire d'Economie Théorique et Appliquée DELTA-CNRS, France, December 1996.
- ROSES, Universite de Grenoble, France, December 1996.
- Department of Management, Texas A & M University, USA, May 1996.
- Russian Studies Centre, Stetson University, USA, July 1996.
- Claremont Graduate School, USA, September 1994.
- Department of Economics, University of Delaware, USA, May 1994.

9. Editorial and refereeing activities.

I am an Associated Editor of the following Journals:

- Journal of Management and Governance
- Corporate Governance: International Review

In addition, I am an editorial board member of the following Journals:

- Strategic Management Journal
- Academy of Management Perspectives
- Journal of Management Studies

- Global Strategy Journal
- Journal of World Business
- International Journal of Managerial Finance
- British Journal of Management
- Venture Capital: An International Journal of Entrepreneurial Finance
- Strategy and Management

I have also served as a Guest Editor of various special issues in the following journals:

- Journal of Management Studies
- Journal of Development Economics
- International Journal of Managerial Finance
- Journal of Management and Governance
- Management International Review
- International Business Review
- Corporate Governance: International Review

I have also served as a referee for the following journals:

- Academy of Management Journal;
- Academy of Management Review;
- Organization Science;
- Strategic Management Journal;
- Journal of International Business Studies;
- Journal of Management Studies;
- Journal of Business Venturing;
- Journal of Management;
- Journal of Business Research;
- Entrepreneurship Theory and Practice;
- Management International Review;
- Managerial and Decision Economics;
- British Journal of Management;
- Asia-Pacific Journal of Management;
- Long-Range Planning;
- Economic Journal;
- Human Relations;
- Journal of Development Studies;
- Journal of Comparative Economics;
- World Economy;
- International Journal of Managerial Finance;
- International Trade Journal;
- Comparative Economic Studies.

C. <u>TEACHING</u>

1. Statement on teaching

My teaching involves a wide range of subjects within Corporate Governance, Corporate Strategy and International Business areas. I have a wide range of teaching experience at all levels, including full-, parttime and executive MBA, PhD, DBA, MA, MSc and undergraduate studies. I have also provided leadership in terms of programme design, teaching quality control and administration, and I have served as a Director of various programmes at undergraduate and postgraduate levels. I have always taken an interest in new methods of teaching and learning, including the following:

• *Research-related teaching.*

Topics of a large number of lectures are directly linked to my current research. Most of my lecture and seminar presentations are supported by my publications in academic periodicals, which allow students to develop a comprehensive and up-to-date knowledge of current issues and discussions in the areas of corporate governance, strategy and international business studies.

• *Qualitative techniques.*

A variety of qualitative techniques are taught to students, including conducting focus group discussions; various project development methods; project cycle management methods based on the Logical Framework Approach; etc. Part of this involves the use of an outside expert to teach some sections of the course.

• Teaching based on practical experience.

My DBA, MBA and MA teaching provides opportunities for students to follow a career-based module; to engage in "real life" group-based and individual projects; and the opportunity to undertake a real research and development project for an outside organisation. This teaching largely benefits from my own consultancy experience. Part of it is based on Project Cycle Management techniques that have been developed for the World Bank and European Union technical assistance programmes.

2. Courses Taught.

Cass Business School, 2007-

I am a Director of PhD Program at Cass Business School. This is one of the largest PhD Programs in the UK that currently involves over 90 research students. Apart from general management I am involved in module provisions within the training component of the Program.

I also contribute to full-time, part-time and executive MBA and MSc programmes including the following modules:

- 1. Corporate Governance (full-time MBA in Management, compulsory module)
- 2. Corporate Governance (full-time MSc in Management, compulsory module)

King's College London, Department of Management, 2004-2007

I was a Director of MSc International Management Programme, the largest MSc Programme in the Department of Management.

I taught "International Business Strategy" (a compulsory MSc module).

Bradford University School of Management, 2002-2004.

I was a Director of Bradford/NIMBAS DBA Programme. The Bradford DBA enables practising managers to enhance their professional skills and to contribute state-of-the-art knowledge in their chosen area of interest. The DBA is a professional practice doctorate concerned with researching real business and managerial issues, through the critical review and application of managerial theories to

professional practice. Within the framework of DBA training workshops, I was responsible for modules related to research methods and skills.

• MBA and MA Programmes.

I have contributed to full-time, part-time and executive MBA and MA programmes including the following modules:

- 3. Strategic Management (DL MBA, compulsory module)
- 4. Advanced Strategic Management (FT and PT MBA, MA elective module)
- 5. Corporate Governance, Strategy and Innovation (FT and PT MBA, MA elective module)
- 6. Corporate Innovation: Strategy and Governance Perspectives (Executive MBA module)

Birkbeck College, University of London 1999-2002

While at Birkbeck, I organised and directed a new post-graduate programme, MRes Management and Organisational Behaviour. The aim of the MRes is to provide research training for those who intend to pursue a career in the public or private sector where knowledge of research methods, management and organisational behaviour is necessary; and for those who intend to do a PhD.

In addition, I contributed to the following programmes:

• MSc in International Business

- 1. Principles of Organisation and Management (compulsory module).
- 2. Advanced International Business Strategy (elective module).
- 3. Research Methods II (elective module).
- 4. E-Commerce: Strategy and Policy (elective module).

• Undergraduate Programme

- 1. Strategic Management I (compulsory module).
- 2. Strategic Management II (elective module).

Nottingham University Business School, 1991-1999

Between 1996-1999 I was the Director of the Management with East European Studies degree programme. In addition, I contributed to the following programmes:

• MA in Corporate Strategy and Governance

- 1. Corporate Governance (compulsory module).
- 2. Corporate Governance in Transitional Economies (elective module).

• MBA Programme

1. New Market Economies in the Former USSR, Eastern Europe and China: New Opportunities for Business (elective module).

• Undergraduate Programme

- 1. Economics of Corporate Strategy (compulsory module).
- 2. Introduction to Managerial Economics (elective module).

24

- 3. Economic aspects of the former USSR and Eastern Europe (elective module).
- 4. Problems of Transition in the Post-Socialist Countries (elective module).

3. Executive Education

I have delivered executive training courses on corporate and business strategy to top level executives from a number of organizations, including:

- BBC (UK)
- Telia-Sonera (Sweden)
- Securities and Commodities Authority (Abu Dhabi)
- Tianjin Financial Authority (China)
- PwC

4. Supervision of research students.

Between 1995 and 2010 I supervised the following full- and part-time PhD students:

Student	Торіс	Role	Dates	Degree awarding institution
Zhukov, V.	Corporate governance, strategies and	1 st	1995-	University of
	performance of privatised industrial firms in the FSU	supervisor	1999	Nottingham
Crotty, J.	The impact of economic transition on the	2 nd	1996-	University of
	Management of pollution in Russian manufacturing enterprises: an exploratory study	supervisor	2000	Nottingham
Bishop, K.	Internationalisation and performance in	2^{nd}	1999-	University of
	CIS manufacturing companies	supervisor	2003	London
Lien, YC.	Corporate governance, performance and	2 nd	1999-	University of
	foreign investment decisions: evidence from Taiwan	supervisor	2004	London
Dampare, G.	An analysis of the after-market financing,	1 st	2002-	University of
•	growth strategies and performance of newly listed firms: a European evidence	supervisor	2006	London
Allcock, D.	Corporate governance and executive pay	1 st	2002-	University of
-	in UK initial public offerings	supervisor 2 nd	2007	Bradford
He, X.	The influence of market orientation and	$2^{n\tilde{d}}$	2005-	University of
	networks of exporting firms on channel selection and performance	supervisor	2009	London
Zhang, X.	Corporate governance, private	2^{nd}	2006-	University of
Ċ.	information risk and firm performance	supervisor	2010	London
Theiss, R.	Corporate governance,	1 st	2002-	University of
	professionalization and performance of IPO firms: the role of founders and venture capitalists	supervisor	2010	Bradford
Liu, Y.	The impact of founder characteristics on	1 st	2006-	
	corporate governance and corporate strategy in UK IPOs	supervisor		
Shukla, D.	Influence of venture capital syndication	2^{nd}	2005 -	
(part-time)	and founders on governance and performance of new ventures	supervisor		

\mathbf{a}	~
	٦.
~	2

Jona, J.	∂	accounting	1 st	2009-	
	practices in IPO firms		supervisor		

I have served as a PhD examiner in the following institutions:

- Nottingham University Business School;
- London Business School;
- University College London;
- Bradford University Business School;
- Leicester DeMontfort University Business School;
- University of York School of Management
- Queen Mary University Belfast
- University of Groningen, Faculty of Economics and Business
- Chinese University of Hong Kong, Graduate School

5. Continuing study of teaching and learning.

- Peer Review of Teaching Skills, King's College London, 2004
- New Staff Development Course, University of Bradford, 2002
- Staff Development Course on "Appraising Academic Members of Staff", Birkbeck College, 2000.
- Staff Development Course on "Attracting External Research Funding", University of Nottingham, 1998.
- Staff Development Course on "Supervision of Research Students", University of Nottingham, 1998.
- Staff Development Course on "Presentation Skills", University of Nottingham, 1997.
- One-week training course on "Logical Framework Approach to Project Cycle Management", Brussels, Tacis services, the European Commission, 1995.
- Staff Development Course on "Presentation Skills", University of Nottingham, 1995
- New Lecturer Development Course, University of Nottingham, 1991.

D <u>COMPUTER SKILLS</u>

As part of my research I undertake quantitative analysis of company-level information using longitudinal databases, including *"WorldScope/Global Access"*, *"Datastream"*, *"Hydra"*, *"CUCD"*, *"Thomson Analytics"*, etc. This involves the use of the following statistical packages:

SPSS, LIMDEP, LISREL, SAS, AMOS, Dynamic Panel Data (DPD) Analysis, Stata.

E <u>CONSULTANCY</u>

• Research work for outside bodies.

I have worked as a researcher and consultant on projects concerning corporate governance and strategic management aspects for a number of government and business organisations, including:

- The UK Department for International Development
- The UK Department of Trade and Industry
- The European Commission;
- European Bank for Reconstruction and Development
- European Training Foundation
- International Labour Organisation

- OECD Corporate Governance Network
- Central and Eastern European Privatisation Network
- Ernst & Young LLP
- Groupe MAC (France)
- Ramboll Hannemann & Hojlund (Denmark)
- Berenschot International (Holland)
- ITAD Ltd (UK)
- MASDAR Ltd (UK).

• Knowledge transfer

I have developed close collaborative links with a number of knowledge transfer organizations, including *KCL Enterprises Ltd* and *Simfonec* (launched in March 2003 by the Department of Trade and Industry (DTI), was created through four of London's leading universities as a collaborative Science Enterprise Centre (SEC) for the exploitation and transfer of knowledge, ideas and resources). In 2005-2009 I have delivered a number of Master Classes on the following topics:

- "Approaching companies" (KCLE)

- "Entrepreneurial growth barriers" (Simfonec, KCLE, Cass Business School and Queen Mary's College).

• Other research-related consultancies.

1. Training workshops on Project Cycle Management and Strategic Management, 1996-2003

I have been involved as Lead Trainer in a number of training workshops organised by the European Training Foundation (ETF). ETF manages a wide range of programmes which have the goal of strengthening the institutional capability of higher education establishments in the New Independent States and Eastern Europe. The programme addresses the fundamental issues of reform through curriculum development and development of teaching material, supported by the organised mobility of staff and students, and the purchase of essential equipment. My role involved the organisation and management of a number of training workshops on Project Cycle Management and Strategic Management for the ETF Staff and their counterparts in the former Soviet Republics and Eastern Europe. Overall, 10 one-week workshops have been organised in Italy, Finland, Belgium, Hungary, Czech Republic, Russia, and Ukraine.

2. Assessment of current needs and prospects for development of management training in Russian Federation.

In 1999-2001, I was involved as Lead Expert in two projects dealing with the assessment and evaluation of the current system of management training in the Russian Federation. Both projects were funded by the European Training Foundation and DG 22 of the European Commission. The main objective of these projects was an in-depth analysis of the current situation in management training and development of policy advice for the Ministries of Economics and Higher Education in Russia, as well as for a number of international donors including the EU Tacis Programme.

3. Training workshops on Project Management based on the Logical Framework Approach, 1995-2003

I have also been involved as Lead Trainer in various European Commission Projects on "Training in Project Cycle Management". The objectives of these Projects were to improve and reinforce the capacity of all parties involved in the EU Technical Assistance programmes, in particular in the beneficiary countries, for effective and efficient implementation of the technical assistance projects. Overall, 15 training workshops have been organised in Belgium, Italy, Turkey, Russia, Ukraine, Belarus, Moldova and Former Yugoslav Republic of Macedonia.

4. Training courses and workshops for managers of enterprises and banks in transition economies.

I have been involved as co-Director in five courses on modern banking for senior Russian Bankers, and a course on "Privatisation Through Management and Employee Buy-Outs" for directors of large industrial enterprises in Estonia for the Estonian Institute of Management (Tallinn).

5. Publications related to training courses.

"Project Management Skills Manual" (with ITAD Ltd.), Turin: Tacis-Tempus Programme, European Training Foundation and DG 22, European Commission, 1997, 54 p.

"Project Cycle Management" (with ITAD Ltd.) Brussels: Tacis services, DG 1A, European Commission, 1995, 108 p.

"Using Case Studies in Management Training" (with S Shaw), European Training Foundation and DG 22, European Commission, 1999, Turin: Tacis-Tempus Programme, 60 p.

"Project Cycle Management Handbook" (with S Karahan) Ankara: the EU Delegation services, 2002, 120 p.

F. PAST AND PRESENT ADMINISTRATIVE RESPONSIBILITIES.

1. Cass Business School (2007 -)

- Director, Centre for Research on Corporate Governance
- Director, PhD Program
- Member of the City University Senate's Research Committee.
- Member of Cass Business School's Research Committee
- Member of Cass Business School's Board of Studies

2. King's College London (2004 - 2007)

- Programme Director, Admissions and Personal Tutor, MSc International Management
- Head, International Business and Comparative Management Group
- Member of Research Committee
- Member of Executive Committee
- Member of Teaching Committee
- Appraiser, International Business and Comparative Management Group

2. Bradford University School of Management (2002-2004).

- Director, DBA Programme.
- Deputy Chair, DBA Examination Board.
- Head, Strategy and Economics Group.
- Member of the School's Executive Board.
- Member of Research Committee.

- Member of PhD Doctoral Review Board.
- Appraiser, Strategy and Economics group.

3. Birkbeck College (1999-2002).

- Birkbeck College Academic Board
- Birkbeck College House Committee
- Chair, Teaching Quality and Course Development Committee, School of Management and Organizational Psychology.
- Programme Director, Admissions and Personal Tutor, Masters in Research in Management and Organizational Behaviour.
- Deputy Chair, MRes Management and Organizational Behaviour Examination Board.
- Personal tutor, Information Systems and Management students.
- Editor, Working Paper series, School of Management and Organizational Psychology.
- Chair, Clore Management Centre Users Committee
- School of Management and Organizational Psychology Ethnic Minorities Working Party

4. University of Nottingham Business School (1991-1999).

- Secretary and Board Member, Institute of Russian, Soviet, Central and East European Studies (IRSCEES).
- Co-Director, Centre for Research into Enterprise in Emerging Markets (CREEM)
- Undergraduate Admission Officer.
- Member of Research Committee.
- Director, Management with East European Studies degree programme.
- Member of Working Group co-ordinating activities between new Social Sciences with East European Studies courses.
- Member of the Working Party on the University response to the HEFCE 1996 initiative to review provisions in former Soviet and East European Studies.
- Business School Representative, the Golden Jubilee Campaign.

REFEREES

Professor Mike Wright

Director, Centre for Management Buy-out Research Nottingham University Business School Jubilee Campus Nottingham NG8 1BB Tel: +44 (0)115 951 5257 Email: mike.wright@nottingham.ac.uk

Professor Garry D. Bruton

M.J. Neeley School of Business Texas Christian University P.O. Box 298530 Fort Worth, TX 76129, USA Tel: 817- 257-7421 Email: <u>G.Bruton@tcu.edu</u>

Professor Robert E. Hoskisson

George R. Brown Professor of Management Jones Graduate School of Business P.O. Box 2932-MS 531 Houston, Texas 77252-2932, USA Email: Robert.E.Hoskisson@rice.edu